# **FORM ADV**

# UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: ALCHEMY INVESTMENT MANAGEMENT LLP
Annual Amendment - All Sections

6/27/2025 9:36:07 PM

079-61693000

**CRD Number: 323282** 

Rev. 10/2021

W	ARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4.
Ite	m 1 Identifying Information
info	sponses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an <i>umbrella registration</i> , the provided for the <i>filing adviser</i> only. General Instruction 5 provides information to assist you with filing an <i>umbrella istration</i> .
Α.	Your full legal name (if you are a sole proprietor, your last, first, and middle names):  ALCHEMY INVESTMENT MANAGEMENT LLP
В.	(1) Name under which you primarily conduct your advisory business, if different from Item 1.A.  ALCHEMY INVESTMENT MANAGEMENT LLP
	List on Section 1.B. of Schedule D any additional names under which you conduct your advisory business.
	(2) If you are using this Form ADV to register more than one investment adviser under an <i>umbrella registration</i> , check this box $\Box$
	If you check this box, complete a Schedule R for each relying adviser.
C.	If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of $\square$ your legal name or $\square$ your primary business name:
D.	<ul> <li>(1) If you are registered with the SEC as an investment adviser, your SEC file number: 801-127152</li> <li>(2) If you report to the SEC as an exempt reporting adviser, your SEC file number:</li> <li>(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers: No Information Filed</li> </ul>
E.	(1) If you have a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system, your CRD number: 323282
	If your firm does not have a CRD number, skip this Item 1.E. Do not provide the CRD number of one of your officers, employees, or affiliates.
	(2) If you have additional <i>CRD</i> Numbers, your additional <i>CRD</i> numbers:  No Information Filed
F.	Principal Office and Place of Business  (1) Address (do not use a P.O. Box):  Number and Street 1:  UNIT NO. 1120 A, SIGNATURE BUILDING,11TH FLOOR, City: GANDHINAGAR, GUJARAT  Number and Street 2:  BLOCK NO 13B, ZONE 1, GANDHINAGAR, GIFT SEZ  Country: ZIP+4/Postal Code: India 382355
	If this address is a private residence, check this box: $\Box$
	List on Section 1.F. of Schedule D any office, other than your principal office and place of business, at which you conduct investment advisory business. If you are applying for registration, or are registered, with one or more state securities authorities, you must list all of your offices in the state or states to which you are applying for registration or with whom you are registered. If you are applying for SEC registration, if you are registered only with the SEC, or if you are reporting to the SEC as an exempt reporting adviser, list the largest twenty-five offices in terms of numbers of employees as of the end of your most recently completed fiscal year.
	(2) Days of week that you normally conduct business at your <i>principal office and place of business:</i> • Monday - Friday • Other:  Normal business hours at this location:  9:30AM - 6:30PM  (3) Telephone number at this location:

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		s location, if any: er of offices, other than your <i>pr</i> cently completed fiscal year?	incipal office al	nd place of busine	ess, at which yo	u conduct investn	nent advisory t	business as of
G.	Mailing address, if different t	from your <i>principal office and p</i>	place of busines	s address:				
	Number and Street 1:		Number	and Street 2:				
	City:	State:	Country	:	ZIP+4/Posta	l Code:		
	If this address is a private r	residence, check this box: $\Box$						
н.	If you are a sole proprietor,	state your full residence addres	ss, if different f	rom your <i>principa</i>	al office and plac	ce of business add	dress in Item 1	F.:
	Number and Street 1:		Number	and Street 2:				
	City:	State:	Country	:	ZIP+4/Posta	l Code:		
I.	Do you have one or more we and LinkedIn)?	ebsites or accounts on publicly	available socia	l media platforms	(including, but	not limited to, Tw	vitter, Facebook	Yes No
	Schedule D. If a website add without listing addresses for or accounts on publicly avail	addresses and the address for dress serves as a portal through all of the other information. Yo able social media platforms wh the addresses of employee acco	h which to acce ou may need to ere you do not	ess other informat list more than or control the conte	ion you have pu ne portal addres ent. Do not prov	ublished on the wo ss. Do not provide ride the individual	eb, you may lise the addresses	st the portal s of websites
J.	Chief Compliance Officer							
		ontact information of your Chiel ompliance Officer, if you have c	-			-	must provide	the contact
	Name: RAKESH KAR			Other titles,	, if any:			
	Telephone number: 91-22 6617 1717			Facsimile nu	umber, if any:			
	Number and Street 1: UNIT NO. 1120 A, SIGNATU	IRE BUILDING,11TH FLOOR,		Number and BLOCK NO		ANDHINAGAR, GI	IFT SEZ	
	City: GANDHINAGAR, GUJARAT		State:	Country: India		P+4/Postal Code: 2355		
	Electronic mail (e-mail) add	lress, if Chief Compliance Office COM	er has one:					
							-	
K.		ct Person: If a person other that ay provide that information her		mpliance Officer is	s authorized to	receive information	on and respond	d to questions
	Name: RUCHI KAKARIA			Titles: SENIOR VICE PRI	ESIDENT			
	Telephone number: 91 6617 1795			Facsimile number	r, if any:			
	Number and Street 1: B-4 AMERCHAND MANSION			Number and Stre 16 MADAME CAM				
	City: MUMBAI	State:		Country: India	ZIP+4/ 400001	/Postal Code: 1		
	Electronic mail (e-mail) add RUCHI.KAKARIA@ALCHEMY	lress, if contact person has one 'CAPITAL.COM	2:					
								Yes No
L.	Do you maintain some or all	of the books and records you	are required to	keep under Secti	on 204 of the A	dvisers Act, or sir	milar state law	, e c

 $https://crd.finra.org/lad/Content/PrintHist/Adv/Sections/crd\_iad\_AdvAllSections.aspx?RefNum=\&viewChanges=N\&FLNG\_PK=1996220$ 

somewhere other than your *principal office and place of business*?

If "yes," complete Section 1.L. of Schedule D.

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М.	Are you registered with a foreign financial regulatory authority?	es	
	· · · · · · · · · · · · · · · · · · ·	⊚	0
	Answer "no" if you are not registered with a foreign financial regulatory authority, even if you have an affiliate that is registered with a foreign financial regulatory authority. If "yes," complete Section 1.M. of Schedule D.	anc	ial
	Y	'es	No
N.	Are you a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934?	0	•
	Y	'es	No
Ο.	Did you have \$1 billion or more in assets on the last day of your most recent fiscal year? If yes, what is the approximate amount of your assets:	O	•
	\$1 billion to less than \$10 billion		
	\$10 billion to less than \$50 billion		
	\$50 billion or more		
P.	For purposes of Item 1.0. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total using the total assets shown on the balance sheet for your most recent fiscal year end.  Provide your Legal Entity Identifier if you have one: 335800MTPMILZY9KFE08  A legal entity identifier is a unique number that companies use to identify each other in the financial marketplace. You may not have a legal entity identifier.  TION 1.B. Other Business Names  No Information Filed		ets
SEC	TION 1.F. Other Offices		
SEC	TION 1.F. Other Offices		
	No Information Filed		
SEC	TION 1.I. Website Addresses		
lim	t your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, b ited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.I. for each website or account on a publicly availa cial media platform.		ot
Ad	dress of Website/Account on Publicly Available Social Media Platform: https://www.alchemyim.com/		
Ad	dress of Website/Account on Publicly Available Social Media Platform: https://www.linkedin.com/company/alchemy-investment-management-llp/	abo	ut/
Ad	dress of Website/Account on Publicly Available Social Media Platform: https://www.youtube.com/@AlchemyInvestmentManagement		

# SECTION 1.L. Location of Books and Records

Complete the following information for each location at which you keep your books and records, other than your *principal office and place of business*. You must complete a separate Schedule D, Section 1.L. for each location.

Name of entity where books and records are kept: ASCENT FUND SERVICES (INDIA) PVT LTD.

Number and Street 1: UNIT NO. 424, 4TH FLOOR Number and Street 2: PRAGYA, PLOT NO. 15-A

SECTION 1.M. Registration with Foreign Financial Regulatory Authorities
List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which you are registered. You must complete a separate Schedule D Section 1.M. for each <i>foreign financial regulatory authority</i> with whom you are registered.
Name of Country/Foreign Financial Regulatory Authority:
India - Securities and Exchange Board of India
Other:
Name of Country/Foreign Financial Regulatory Authority: Other
Othory

INDIA - INTERNATIONAL FINANCIAL SERVICES CENTRES AUTHORITY

Ttom	2	SEC	Danie	tratio	n/Da	porting

Responses to this Item help us (and you) determine whether you are eligible to register with the SEC. Complete this Item 2.A. only if you are applying for SEC registration or submitting an *annual updating amendment* to your SEC registration. If you are filing an *umbrella registration*, the information in Item 2 should be provided for the *filing adviser* only.

			3	•		
	<i>ann</i> 2 pr	u <i>al up</i> ovide	odating amendment to your	n the SEC, you must check <b>at least o</b> r SEC registration and you are no longer termine whether you may affirmatively	r eligible to register with the SEC, che	-
		•	are a large advisory firm	that either:		
		(+)		nder management of \$100 million (in	IIS dollars) or more: or	
						and the second second second second second
			amendment and is regi	nder management of \$90 million (in U stered with the SEC;	.S. dollars) or more at the time of filli	ng its most recent <i>annual updating</i>
	ᅜ	(2)	are a <b>mid-sized advisory</b> million (in U.S. dollars) and	<b>firm</b> that has regulatory assets under you are either:	management of \$25 million (in U.S. o	dollars) or more but less than \$100
			(a) not required to be regis	stered as an adviser with the state sec	urities authority of the state where yo	u maintain your <i>principal office and</i>
			(b) not subject to examinate	tion by the state securities authority o	f the state where you maintain your p	rincipal office and place of business;
			Click <b>HERE</b> for a list of authority.	states in which an investment adviser,	, if registered, would not be subject to	examination by the state securities
		(3)	Reserved			
	V	(4)	have your <i>principal office a</i>	nd place of business outside the Unit	ted States:	
		(5)		er (or subadviser) to an investmen	·	estment Company Act of 1940
		` ,		er to a company which has elected		
	_	(0)		f 1940 and has not withdrawn the elec	•	
		(7)	are a <b>pension consultant</b> exemption in rule 203A-2(a	with respect to assets of plans having );	an aggregate value of at least \$200,0	000,000 that qualifies for the
		(8)		er rule 203A-2(b) that <i>controls</i> , is <i>cont</i> d your <i>principal office and place of bu</i> s		
			If you check this box, comp	lete Section 2.A.(8) of Schedule D.		
		(9)	are an <b>adviser</b> relying on r	ule 203A-2(c) because you <b>expect to</b>	be eligible for SEC registration w	ithin 120 days;
			If you check this box, comp	lete Section 2.A.(9) of Schedule D.		
		(10)	are a multi-state adviser	that is required to register in 15 or mo	ore states and is relying on rule 203A	-2(d);
			If you check this box, comp	elete Section 2.A.(10) of Schedule D.		
		(11)	are an <b>Internet adviser</b> re	elying on rule 203A-2(e);		
		,		plete Section 2.A.(11) of Schedule D.		
		(12)	,	ler exempting you from the prohibition	against registration with the SEC:	
		(12)		elete Section 2.A.(12) of Schedule D.	r against registration with the SEC,	
		(12)	, , ,			
		(13)	are <b>no longer eligible</b> to r	remain registered with the SEC.		
C1-1			tion Authority Notice Filin		Danastina Advisana	
				gs and State Reporting by Exempt	-	Form ADV and any amendments they
				tice filings. In addition, exempt reporti		e Form ADV and any amendments they de state securities authorities with a
		•		they file with the SEC. If this is an init		. ,
				d all subsequent filings or reports you he box(es) next to the state(s) that yo		-
	you	subn	nit to the SEC. If this is an ar	mendment to your registration to stop		
	ther	n, un	check the box(es) next to th	ose state(s).		
	Jur	isdict	ions			
		AL		□ IL	□ NE	□ sc
		AK		□ IN	□ NV	□ SD
		ΑZ		□ IA	□ NH	□ TN
		AR		□ KS	□ NJ	□ TX
		CA		□ кү	□ NM	□ UT
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7/25, 9:37 PM	IA	RD - All Sections [User Name: tlebote7	7, OrgID: 323282]	
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Пст	□ ME	□ NC	□ VI	
□ DE	□ MD	□ ND	□ VA	
		''-		
□ DC	∥ □ MA	∥ □ он	∥ □ WA	
□ FL	∥ □ MI	∥ □ ок	∥ □ wv	
□ GA	∥ □ MN	∥□ OR	∥ □ wɪ	
□ GU	□ MS	∏ PA	□ wy	
□ ні	□ мо	□ PR		
□ ID	□ MT	□ RI		
			nat currently receives them and you do not wand before the end of the year (December 31).	nt to
SECTION 2.A.(8) Related A	 dviser			
control with an investment ad adviser, provide the following Name of Registered Investme	information:	EC and your <i>principal office and place o</i>	f business is the same as that of the registered	I
CRD Number of Registered In	vestment Adviser			
SEC Number of Registered In	vestment Adviser			
SECTION 2.A.(9) Investme	nt Adviser Expecting to be Elic	ible for Commission Registration wi	thin 120 Days	
☐ I am not registered or req register with the SEC with ☐ I undertake to withdraw fr	uired to be registered with the SE in 120 days after the date my reg	istration with the SEC becomes effective Oth day after my registration with the SE	ave a reasonable expectation that I will be elig	
SECTION 2.A.(10) Multi-Sta	ite Adviser			
	* **	semption from the prohibition on registra hecking the appropriate boxes, you will t	•	
$\square$ I have reviewed the applic		. ,	se representations: e laws of 15 or more states to register as an	
		nendment to this registration indicating tecurities authorities of those states.	that I would be required by the laws of fewer t	han
	nual updating amendment, you mendment. I	-	federal laws and have concluded that I am req	ıııiro,
		nt adviser with the <i>state securities autho</i>		
SECTION 2.A.(11) Internet	Adviser			
	• • •	nption from the prohibition on registratio e box, you will be deemed to have made	n, you are required to make a representation as the required representation.	abou
registration, you must make t	this representation:		em 2 response regarding your eligibility for SEC	
				-
ii you are iiiiid an annual iini		ore than one client exclusively through and registration and are continuing to rely	n <i>operational interactive website</i> .  on the Internet adviser exemption for SEC	_

I have provided and will continue to provide investment advice on an ongoing basis to more than one client exclusively through an <i>operational</i> interactive website.
SECTION 2.A.(12) SEC Exemptive Order
If you are relying upon an SEC <i>order</i> exempting you from the prohibition on registration, provide the following information:
Application Number:
803-
Date of order:

Ttem	3	<b>Form</b>	ωf	Oras	anizat	ion
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If you are filing an umbrella registration, the information in Item 3 should be provided for the filing adviser only.

- A. How are you organized?
  - C Corporation
  - C Sole Proprietorship
  - ← Limited Liability Partnership (LLP)
  - O Partnership
  - Limited Liability Company (LLC)
  - Limited Partnership (LP)
  - Other (specify):

If you are changing your response to this Item, see Part 1A Instruction 4.

In what month does your fiscal year end each year? MARCH

C. Under the laws of what state or country are you organized?

State Country

India

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.

If you are changing your response to this Item, see Part 1A Instruction 4.

# **Item 4 Successions**

Yes No

A. Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure or legal status (e.g., form of organization or state of incorporation)?

⊙ ⊙

If "yes", complete Item 4.B. and Section 4 of Schedule D.

B. Date of Succession: (MM/DD/YYYY)

If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.

## **SECTION 4 Successions**

No Information Filed

## Item 5 Information About Your Advisory Business - Employees, Clients, and Compensation

Responses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.

#### **Employees**

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

A. Approximately how many employees do you have? Include full- and part-time employees but do not include any clerical workers.

3

B. (1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)?

1

(2) Approximately how many of the *employees* reported in 5.A. are registered representatives of a broker-dealer?

0

(3) Approximately how many of the employees reported in 5.A. are registered with one or more state securities authorities as investment adviser representatives?

O

(4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?

0

(5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?

Λ

(6) Approximately how many firms or other *persons* solicit advisory *clients* on your behalf?

83

In your response to Item 5.B.(6), do not count any of your employees and count a firm only once – do not count each of the firm's employees that solicit on your behalf.

#### Clients

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

C. (1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?

0

- (2) Approximately what percentage of your *clients* are non-*United States persons*?
- D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.

The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *clients*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

If a *client* fits into more than one category, select one category that most accurately represents the *client* to avoid double counting *clients* and assets. If you advise a registered investment company, business development company, or pooled investment vehicle, report those assets in categories (d), (e), and (f) as applicable.

Type of <i>Client</i>	(1) Number of Client(s)	(2) Fewer than 5 Clients	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than high net worth individuals)			\$
(b) High net worth individuals			\$
(c) Banking or thrift institutions			\$

(6) Performance-based fees

(7) Other (specify): EXIT LOAD CHARGED AS A PERCENTAGE OF NAV

	l l		\$
ess development companies			\$
investment vehicles (other than investment companies ess development companies)	1	Γ	\$ 49,635,135
on and profit sharing plans (but not the plan participants ment pension plans)			\$
able organizations			\$
or municipal <i>government entities</i> (including government lans)			\$
investment advisers			\$
nnce companies			\$
ign wealth funds and foreign official institutions			\$
orations or other businesses not listed above			\$
:			\$
i i i	ess development companies)  n and profit sharing plans (but not the plan participants ment pension plans)  able organizations  r municipal government entities (including government ans)  nvestment advisers  nce companies  ign wealth funds and foreign official institutions  prations or other businesses not listed above	ess development companies)  n and profit sharing plans (but not the plan participants ment pension plans)  able organizations  r municipal government entities (including government ans)  nvestment advisers  nce companies  ign wealth funds and foreign official institutions  prations or other businesses not listed above	ess development companies)  In and profit sharing plans (but not the plan participants ment pension plans)  In able organizations  In municipal government entities (including government ans)  Investment advisers  Ince companies  Ing wealth funds and foreign official institutions  Investment businesses not listed above

	Item 5 Information About Your Advisory Business - Regulatory Assets Under Management  Regulatory Assets Under Management								
		. •				Yes	No		
F.	(1) Do you provide continuous and r	egular superviso	ory or management services to	securities portfolio	os?	•	0		
	(2) If yes, what is the amount of you	ur regulatory ass	sets under management and to	tal number of acco	ounts?				
			U.S. Dollar Amount		Total Number of Accounts				
	Discretionary:	(a)	\$ 49,635,135	(d)	1				
	Non-Discretionary:	(b)	\$ 0	(e)	0				
	Total:	(c)	\$ 49,635,135	(f)	1				
	Part 1A Instruction 5.b. explains completing this Item.	s how to calculat	te your regulatory assets under	r management. Yo	u must follow these instructions ca	refully when			
	(3) What is the approximate amount are non- <i>United States persons</i> ?	of your total re	gulatory assets under manager	ment (reported in	Item 5.F.(2)(c) above) attributable	to <i>clients</i> w	ho		
	\$ 49,635,135								

Iten	Item 5 Information About Your Advisory Business - Advisory Activities							
Ad۱	isor	y Acti	vities					
G.	G. What type(s) of advisory services do you provide? Check all that apply.							
	[ (1) Financial planning services							
		(2)	Portfolio management for individuals and/or small businesses					
		(3)	Portfolio management for investment companies (as well as "business development companies" that have made an election pursuant to					
			section 54 of the Investment Company Act of 1940)					
	V	(4)	Portfolio management for pooled investment vehicles (other than investment companies)					
	V	(5)	Portfolio management for businesses (other than small businesses) or institutional <i>clients</i> (other than registered investment companies and					
			other pooled investment vehicles)					
		(6)	Pension consulting services					
		(7)	Selection of other advisers (including private fund managers)					
		(8)	Publication of periodicals or newsletters					
		(9)	Security ratings or pricing services					
		(10)	Market timing services					
		(11)	Educational seminars/workshops					
		(12)	Other(specify):					
	_							

Do not check Item 5.G.(3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G.(3), report the 811 or 814 number of the investment

Marketing Activities

(1) Do any of your advertisements include:

IARD - All Sections [User Name: tlebote7, OrgID: 323282] company or investment companies to which you provide advice in Section 5.G.(3) of Schedule D. H. If you provide financial planning services, to how many clients did you provide these services during your last fiscal year?  $0^{-0}$ O 1 - 10 11 - 25 26 - 50 51 - 100 101 - 250 251 - 500 More than 500 If more than 500, how many? (round to the nearest 500) In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors. Yes No (1) Do you participate in a wrap fee program? **©** (2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as: (a) sponsor to a wrap fee program (b) portfolio manager for a wrap fee program? (c) sponsor to and portfolio manager for the same wrap fee program? \$ If you report an amount in Item 5.I.(2)(c), do not report that amount in Item 5.I.(2)(a) or Item 5.I.(2)(b). If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.I.(2) of If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee program, do not check Item 5.I.(1) or enter any amounts in response to Item 5.I.(2). Yes No (1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of  $\circ$ ⊚ investments? (2) Do you report client assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your **(**  $\circ$ regulatory assets under management? Separately Managed Account Clients Yes No (1) Do you have regulatory assets under management attributable to *clients* other than those listed in Item 5.D.(3)(d)-(f) (separately **(** managed account clients)? If yes, complete Section 5.K.(1) of Schedule D. (2) Do you engage in borrowing transactions on behalf of any of the separately managed account clients that you advise?  $\circ$ If yes, complete Section 5.K.(2) of Schedule D. (3) Do you engage in derivative transactions on behalf of any of the separately managed account clients that you advise?  $\circ$ If yes, complete Section 5.K.(2) of Schedule D. (4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian  $\circ$ hold ten percent or more of this remaining amount of regulatory assets under management? If yes, complete Section 5.K.(3) of Schedule D for each custodian.

Yes No

(a) Performance results?	0	5	0
(b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?	С	>	•
(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	c	,	•
(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	c	5	•
(e) Third-party ratings?	c	>	•
(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or ind in connection with the use of <i>testimonials</i> , <i>endorsements</i> , or <i>third-party ratings</i> ?	lirectly, C	,	0
(3) Do any of your advertisements include hypothetical performance ?	c	5	•
(4) Do any of your advertisements include predecessor performance ?	•	5	0

## SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

No Information Filed

#### SECTION 5.I.(2) Wrap Fee Programs

No Information Filed

## SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

Asset Type	Mid-year	End of year
(i) Exchange-Traded Equity Securities	%	%
(ii) Non Exchange-Traded Equity Securities	%	%
(iii) U.S. Government/Agency Bonds	%	%
(iv) U.S. State and Local Bonds	%	%
(v) Sovereign Bonds	%	%
(vi) Investment Grade Corporate Bonds	%	%
(vii) Non-Investment Grade Corporate Bonds	%	%
(viii) Derivatives	%	%
(ix) Securities Issued by Registered Investment Companies or Business Development Companies	%	%

(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%	%
(xi)	Cash and Cash Equivalents	%	%
(xii)	Other	%	%

Generally describe any assets included in "Other"

Asse	sset Type En				
(i)	Exchange-Traded Equity Securities	%			
(ii)	Non Exchange-Traded Equity Securities	%			
(iii)	U.S. Government/Agency Bonds	%			
(iv)	U.S. State and Local Bonds	%			
(v)	Sovereign Bonds	%			
(vi)	Investment Grade Corporate Bonds	%			
(vii)	Non-Investment Grade Corporate Bonds	%			
(viii)	Derivatives	%			
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%			
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%			
(xi)	Cash and Cash Equivalents	%			
(xii)	Other	%			

Generally describe any assets included in "Other"

### SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

 $\square$  No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

## (i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative		(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%

10-149%	\$ \$	%	%	%	%	%	%
150% or more	\$ \$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

### (ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings		(3) Derivative Exposures				
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative		(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$	\$
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

## SECTION 5.K.(3) Custodians for Separately Managed Accounts

No Information Filed

1725,	9.51	TW IATE - All decitors [User Name, tlebuter, Orgib. 323202]		
Iter	n 6 O	ther Business Activities		
In t	his Ite	em, we request information about your firm's other business activities.		
A.		are actively engaged in business as a (check all that apply):  (1) broker-dealer (registered or unregistered) (2) registered representative of a broker-dealer (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (4) futures commission merchant (5) real estate broker, dealer, or agent (6) insurance broker or agent (7) bank (including a separately identifiable department or division of a bank) (8) trust company (9) registered municipal advisor (10) registered security-based swap dealer (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm (14) other financial product salesperson (specify):	dula dula	
	ır yo	ou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Sche	Yes	
В.	(1)	Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	0	•
	(2)	If yes, is this other business your primary business?	0	0
		If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide name.	e that	•
			Yes	No
	(3)	Do you sell products or provide services other than investment advice to your advisory <i>clients</i> ?	О	⊙
		If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide name.	e that	
SEC	TION	6.A. Names of Your Other Businesses		
		No Information Filed		
SEC	TION	6.B.(2) Description of Primary Business		
Des	cribe	your primary business (not your investment advisory business):		
If y	ou en	gage in that business under a different name, provide that name:		
SEC	TION	6.B.(3) Description of Other Products and Services		
Des	cribe	other products or services you sell to your <i>client</i> . You may omit products and services that you listed in Section 6.B.(2) above.  gage in that business under a different name, provide that name:		

### **Item 7 Financial Industry Affiliations**

(14) real estate broker or dealer

In this Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between you and your *clients*.

This part of Item 7 requires you to provide information about you and your related persons, including foreign affiliates. Your related persons are all of your advisory affiliates and any person that is under common control with you. You have a related person that is a (check all that apply): (1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)  $\overline{\mathbf{v}}$ (2) other investment adviser (including financial planners) (3) registered municipal advisor (4) registered security-based swap dealer (5) major security-based swap participant (6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant (7) Г banking or thrift institution (8) Г (9) trust company (10) accountant or accounting firm Г (11) lawyer or law firm (12) insurance company or agency (13) pension consultant

Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).

(15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles

(16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.

For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.

You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.

You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

SE	CTION 7.A. Financial Industry Affiliations
Со	mplete a separate Schedule D Section 7.A. for each <i>related person</i> listed in Item 7.A.
1.	Legal Name of <i>Related Person</i> : ALCHEMY CAPITAL MANAGEMENT PRIVATE LIMITED
2.	Primary Business Name of <i>Related Person</i> : ALCHEMY CAPITAL MANAGEMENT PRIVATE LIMITED
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)
	or Other
4.	Related Person's (a) CRD Number (if any):
	(b) CIK Number(s) (if any):  No Information Filed
5.	Related Person is: (check all that apply)  (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer  (b) ▼ other investment adviser (including financial planners)

A. PRIVATE FUND

B. A	Are y If "ye next exem fund priva In eit alpha	Private Fund Reporting  You an adviser to any private fund?  You an adviser to any private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described sentence and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an another section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect the fund. You must, instead, complete Section 7.B.(2) of Schedule D.  When there case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or abetical code, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D arms code or designation in place of the fund's name.	Yes  ©  section SEC  private to the	ate nat
Iteı			Yes	No
Iteı				
	m 7 /	Private Fund Reporting		•
12.				•
	. Do	you and the <i>related person</i> share the same physical location?	0	_
11.	. Do	you and the related person share any supervised persons?	•	O
		India - Securities and Exchange Board of India		
10.	(a) (b)		<b>⊙</b> giste	C red.
9.	(a) (b)	If the <i>related person</i> is an investment adviser, is it exempt from registration?  If the answer is yes, under what exemption?  FOREIGN PRIVATE ADVISER EXEMPTION	•	О
		If this address is a private residence, check this box: $\square$	Yes	No
		Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:		
	(c)	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?  If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> assets:	nts'	
8.	(a) (b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	⊙ ○
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	0
6.	Do	you control or are you controlled by the related person?	Yes ⊙	No C
	(n) (o) (p)	real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	` '	pension consultant		
	(k)	lawyer or law firm		
	(i) (j)	☐ trust company ☐ accountant or accounting firm		
	(g) (h)	futures commission merchant banking or thrift institution		
	(f)	<ul> <li>major security-based swap participant</li> <li>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> </ul>		
	(e)			

for	mation About the <i>Private Fund</i>
	(a) Name of the <i>private fund</i> :
	ALCHEMY INDIA LONG TERM FUND
	(b) Private fund identification number:
	(include the "805-" prefix also)
	805-4219883787
	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:
	India
	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee, or Director
	ALCHEMY INVESTMENT MANAGEMENT LLP (INVESTMENT MANAGER)
	AMICORP TRUSTEES (INDIA) PRIVATE LIMITED (TRUSTEE)
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.  No Information Filed
	No Information Fried
	The <i>private fund</i> (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	Name of Country/English Name of Foreign Financial Regulatory Authority
	India - Securities and Exchange Board of India
	Other - INTERNATION FINANCIAL SERVICES CENTRES AUTHORITY - INDIA
	Yes No
	(a) Is this a "master fund" in a master-feeder arrangement?
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?
	No Information Filed
	Yes No
	(c) Is this a "feeder fund" in a master-feeder arrangement?
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?
	Name of private fund:
	Private fund identification number:
	(include the "805-" prefix also)
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1
	for the master-feeder arrangement or reporting on the funds separately.
	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each
	of the feeder funds answer the following questions:
	No Information Filed
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their
	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

8. (a) Is this *private fund* a "fund of funds"?

	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes C	No ⊙
10.	What type of fund is the <i>private fund</i> ?		
	$^{f C}$ hedge fund $^{f C}$ liquidity fund $^{f C}$ private equity fund $^{f C}$ real estate fund $^{f C}$ securitized asset fund $^{f C}$ venture capital fund $^{f C}$ Other <i>priv</i> fund:	ate	
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 49,635,135		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 150,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	ne	
13.	Approximate number of the <i>private fund's</i> beneficial owners:  123		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 10%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ⊙	No C
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 38%		
You	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No ⊙
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	C er to	e
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	⊙
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	ansv	wer
	No Information Filed		
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	No ⊙
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		e
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?  0%		
Pri	vate Offering		

Custodian

		Ye	25
Has the	e private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	6	ð
If yes,	provide the <i>private fund's</i> Form D file number (if any):		
Form	D file number		
021-19	076396		_
RVICE	PROVIDERS		
<u>itors</u>		V	<b>-</b> c
(a) (1)	Are the <i>private fund's</i> financial statements subject to an annual audit?		
(2)	If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	G	9
	he answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audumust complete questions (b) through (f) separately for each auditing firm.	liting	fir
Ad	dditional Auditor Information : 1 Record(s) Filed.		
	f the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	b) Name of the auditing firm:		
	S.R. BATLIBOI & CO. LLP		
(	c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country: MUMBAI India		
	POPDAL	Yes	N
	d) Is the auditing firm an independent public accountant?	$\odot$	(
	e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	(
	If yes, Public Company Accounting Oversight Board-Assigned Number: 5546		
(	f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	(
g) Are	e the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i>		
	estors?		
	nions?	eu	
⊙	Yes <sup>□</sup> No <sup>□</sup> Report Not Yet Received		
	ou check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the Bilable.	repo	ırt
e Brok	<u>ser</u>	V	
(a) Do	es the <i>private fund</i> use one or more prime brokers?	Ves No clail statements subject to an annual audit?  (C) (3.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  (C) (1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, b) through (f) separately for each auditing firm.  (a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, (a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one plete questions (b) through (f) separately for each auditing firm.  (a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one plete questions (b) through (f) separately for each auditing firm.  (a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one plete questions (b) through (f) separately for each auditing firm.  (a)(1) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fund u	
If t	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If vate fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		
	No Information Filed		

Additional	Custodian Information	: 1 Record(s) Filed.		
			hrough g) below for each custodian the <i>pri</i> stions (b) through (g) separately for each o	
	name of custodian: K MAHINDRA BANK LIMIT	ED		
	ry business name of custo K MAHINDRA BANK LIMIT			
(d) The lo	ocation of the custodian's	office responsible for <i>custody</i> of t	the <i>private fund's</i> assets (city, state and co	ountry):
City:		State:	Country:	
MUME	BAI		India	<b>V</b>
(e) Is the	custodian a <i>related perso</i>	on of your firm?		Yes
(0) 15 1110	eastoalan a relatea perse	on or your min.		0
(f) If the	custodian is a broker-dea	aler, provide its SEC registration r	number (if any):	
-				
CRD I	Number (if any):			
(g) If the	custodian is not a broker- fier (if any)	-dealer, or is a broker-dealer but	does not have an SEC registration number	, provide its <i>legal entity</i>
(g) If the identi	fier (if any)		does not have an SEC registration number	; provide its <i>legal entity</i>
(g) If the identi	fier (if any) ivate fund use an administ er to question 26.(a) is "ye	trator other than your firm?	ough (f) below. If the <i>private fund</i> uses mo	Y
(g) If the identi	fier (if any)  ivate fund use an administer to question 26.(a) is "ye mplete questions (b) thro	trator other than your firm? es," respond to questions (b) thro	ough (f) below. If the <i>private fund</i> uses mo	Y
(g) If the identi	fivate fund use an administer to question 26.(a) is "yestimplete questions (b) through the description of the description of the description and the description and the description are described by the description and the description and the description are described by the description are described by the description are described by the description and the description are described by the descript	trator other than your firm? es," respond to questions (b) thro ough (f) separately for each admi oution: 1 Record(s) Filed.	ough (f) below. If the <i>private fund</i> uses mo nistrator. hrough (f) below. If the <i>private fund</i> uses r	<b>Y</b> ore than one administrat
(g) If the identi	fier (if any)  Evate fund use an administer to question 26.(a) is "ye implete questions (b) thro  Administrator Informa  wer to question 26.(a) is "	trator other than your firm? es," respond to questions (b) through (f) separately for each administrion: 1 Record(s) Filed.  "yes," respond to questions (b) through (f) separate	ough (f) below. If the <i>private fund</i> uses mo nistrator. hrough (f) below. If the <i>private fund</i> uses r	<b>Y</b> ore than one administrat
(g) If the identi	fier (if any)  Evate fund use an administer to question 26.(a) is "ye implete questions (b) thro  Administrator Informa  wer to question 26.(a) is 'ator, you must complete question administrator:	trator other than your firm? es," respond to questions (b) through (f) separately for each adminition: 1 Record(s) Filed.  "yes," respond to questions (b) through (f) separate uestions (b) through (f) separate	ough (f) below. If the <i>private fund</i> uses mo nistrator. hrough (f) below. If the <i>private fund</i> uses r	<b>Y</b> ore than one administrat
(g) If the identi	fivate fund use an administer to question 26.(a) is "ye implete questions (b) through the following and the following and the following are of administrator:  NT FUND SERVICES (INDIction of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of a displayed and the following	trator other than your firm? es," respond to questions (b) through (f) separately for each adminition: 1 Record(s) Filed.  "yes," respond to questions (b) through (f) separate uestions (b) through (f) separate	bugh (f) below. If the <i>private fund</i> uses monistrator.  hrough (f) below. If the <i>private fund</i> uses rely for each administrator.  Country:	<b>Y</b> ore than one administrat
(g) If the identi	fivate fund use an administer to question 26.(a) is "ye implete questions (b) through the following and the following and the following are of administrator:  NT FUND SERVICES (INDIction of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of a displayed and the following	trator other than your firm? es," respond to questions (b) through (f) separately for each adminition: 1 Record(s) Filed.  "yes," respond to questions (b) through (f) separate (IA) PRIVATE LIMITED  state and country):	ough (f) below. If the <i>private fund</i> uses monistrator.  hrough (f) below. If the <i>private fund</i> uses rely for each administrator.	ye than one administrat
(g) If the identification.  Does the print of the answer you must contain the answer administration of the ASCE (c) Location City:  GIFT	fivate fund use an administer to question 26.(a) is "ye implete questions (b) through the following and the following and the following are of administrator:  NT FUND SERVICES (INDIction of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of administrator (city, for a displayed and the following are of a displayed and the following	trator other than your firm? es," respond to questions (b) through (f) separately for each administrion: 1 Record(s) Filed.  "yes," respond to questions (b) through (f) separate uestions (b) through (f) separate IA) PRIVATE LIMITED  state and country): State:	bugh (f) below. If the <i>private fund</i> uses monistrator.  hrough (f) below. If the <i>private fund</i> uses rely for each administrator.  Country:	ore than one administra
(g) If the identi	fier (if any)  fivate fund use an administer to question 26.(a) is "yestimplete questions (b) thro  Administrator Information, you must complete question, you must complete question 26.(a) is "ator, you must complete question of administrator:  NT FUND SERVICES (INDITION of administrator (city, current cut)  administrator a related p	trator other than your firm? es," respond to questions (b) through (f) separately for each adminition: 1 Record(s) Filed.  "yes," respond to questions (b) through (f) separate  IA) PRIVATE LIMITED  state and country):  State:	bugh (f) below. If the <i>private fund</i> uses monistrator.  hrough (f) below. If the <i>private fund</i> uses rely for each administrator.  Country:	ore than one administration one than one

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Yes No
28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

	tional Marketer Information : 83 Record(s) Filed	1.			
You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.					
			Yes	No	
b)	Is the marketer a <i>related person</i> of your firm?		О	•	
c)	Name of the marketer: AB GLOBAL WEALTH				
d)	If the marketer is registered with the SEC, its file $\operatorname{nu}$ -	mber ( <i>e.g.</i> , 801-, 8-, or 866-):			
	and CRD Number (if any):				
e)	Location of the marketer's office used principally by	, , , , , , , , , , , , , , , , , , , ,			
	City: State:	Country: India			
	JONAI	India	Yes	No	
f)	Does the marketer market the <i>private fund</i> through	one or more websites?	o	•	
(g)	If the answer to question 28.(f) is "yes," list the web	osite address(es):			
		No Information Filed			
or s oriv	imilar person. If the answer to question 28.(a) is "yes	cement agent, consultant, finder, introducer, municipal s," respond to questions (b) through (g) below for each e marketer, you must complete questions (b) through (	such marketer the		
or s p <i>ri</i> v ma	imilar <i>person</i> . If the answer to question 28.(a) is "yes ate fund uses. If the <i>private fund</i> uses more than one keter.	s," respond to questions (b) through (g) below for each	such marketer the g) separately for each Yes	No	
or s oriv ma	imilar <i>person</i> . If the answer to question 28.(a) is "yes ate fund uses. If the <i>private fund</i> uses more than one	s," respond to questions (b) through (g) below for each	such marketer the g) separately for each	1	
or s oriv ma (b)	imilar <i>person</i> . If the answer to question 28.(a) is "yes ate fund uses. If the <i>private fund</i> uses more than one keter.	s," respond to questions (b) through (g) below for each	such marketer the g) separately for each Yes	No	
or s oriv ma (b)	imilar <i>person</i> . If the answer to question 28.(a) is "yes ate fund uses. If the <i>private fund</i> uses more than one keter.  Is the marketer a <i>related person</i> of your firm?  Name of the marketer:	s," respond to questions (b) through (g) below for each e marketer, you must complete questions (b) through (	such marketer the g) separately for each Yes	No	
or s priv ma (b)	imilar person. If the answer to question 28.(a) is "yes ate fund uses. If the private fund uses more than one keter.  Is the marketer a related person of your firm?  Name of the marketer: ABUNDEX WEALTH PRIVATE LIMITED	s," respond to questions (b) through (g) below for each e marketer, you must complete questions (b) through (	such marketer the g) separately for each Yes	No	

City:	State:	Country	:		
BHUJ		India		Yes	N.
Does	the marketer market the private fund th	hrough one or more websites?		res O	©.
)) If the	answer to question 28.(f) is "yes," list t	the website address(es):  No Information Filed			
similar	answer "yes" whether the <i>person</i> acts a <i>person</i> . If the answer to question 28.(a and uses. If the <i>private fund</i> uses more t	) is "yes," respond to questions (b)	through (g) below for each	such marketer the	
arketer.					
				Yes	N
) Is the	e marketer a <i>related person</i> of your firm	?		0	•
,	of the marketer: UE FINVISOR LLP				
i) If the	marketer is registered with the SEC, its	s file number ( <i>e.g.</i> , 801-, 8-, or 866	5-):		
and C	RD Number (if any):				
-	ion of the marketer's office used principa		**		
City: NEW	DELHI	State:	Country: India		
	ules are forter and builting of the Control			Yes	N
) Does	the marketer market the <i>private fund</i> th	irrough one or more websites?		0	•
g) If the	answer to question 28.(f) is "yes," list t				
		No Information Filed			
or similar orivate fui marketer.	answer "yes" whether the <i>person</i> acts a <i>person</i> . If the answer to question 28.(a <i>nd</i> uses. If the <i>private fund</i> uses more t	) is "yes," respond to questions (b) han one marketer, you must compl	through (g) below for each	such marketer the	1
b) Is the	e marketer a <i>related person</i> of your firm	?		0	•
-	of the marketer: I JOSHI				
d) If the -	marketer is registered with the SEC, its	s file number ( <i>e.g.</i> , 801-, 8-, or 866	5-):		
and C	RD Number (if any):				
e) Locati City:	ion of the marketer's office used principals		and country): puntry:		
MUME	BAI	In	ndia	V	,
f) Does	the marketer market the <i>private fund</i> th	hrough one or more websites?		Yes O	(O
a) If the	answer to question 28.(f) is "yes," list t	the website address(es):			
,, <u>.</u> c	2010 10 4222222 201(1) 10 7007 1100	No Information Filed			

ADITYA CHETAN GALA  If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-): - and CRD Number (if any):  Location of the marketer's office used principally by the private fund (city, state and country):  City: State: Country:  MUMBAI India  Does the marketer market the private fund through one or more websites?  If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other initials person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such markete ate fund uses. If the private fund uses more than one marketer, you must complete questions (b) through (g) separately the server.  Is the marketer a related person of your firm?			
(b) Is the marketer a related pers	son of your firm?		Yes No
c) Name of the marketer: ADITYA CHETAN GALA			
d) If the marketer is registered v	with the SEC, its file number ( $e.g.$ , 8	01-, 8-, or 866-):	
and CRD Number (if any):			
City:		Country:	
			Yes No
f) Does the marketer market the	e <i>private fund</i> through one or more	websites?	○ ●
g) If the answer to question 28.(		·	
ou must answer "yes" whether th	No Inform ne <i>person</i> acts as a placement agent	, consultant, finder, introducer, municipal	
ou must answer "yes" whether the result of the similar person. If the answer to	No Informing the person acts as a placement agent a question 28.(a) is "yes," respond to	, consultant, finder, introducer, municipal o questions (b) through (g) below for each	n such marketer the
ou must answer "yes" whether the r similar <i>person</i> . If the answer to <i>rivate fund</i> uses. If the <i>private fu</i>	No Informing the person acts as a placement agent a question 28.(a) is "yes," respond to	, consultant, finder, introducer, municipal o questions (b) through (g) below for each	n such marketer the
fou must answer "yes" whether the similar person. If the answer to brivate fund uses. If the private fund narketer.	No Informine person acts as a placement agent question 28.(a) is "yes," respond to and uses more than one marketer, you	, consultant, finder, introducer, municipal o questions (b) through (g) below for each	n such marketer the g) separately for each
ou must answer "yes" whether the resimilar person. If the answer to rivate fund uses. If the private fund uses. If the private fund uses is the private fund uses. If the private fund uses is the marketer.	No Informine person acts as a placement agent a question 28.(a) is "yes," respond to and uses more than one marketer, yeson of your firm?	, consultant, finder, introducer, municipal o questions (b) through (g) below for each	n such marketer the g) separately for each
fou must answer "yes" whether the similar person. If the answer to private fund uses. If the private fund uses.  b) Is the marketer a related person.  c) Name of the marketer:  AIF & PMS EXPERTS INDIA PA	No Informine person acts as a placement agent question 28.(a) is "yes," respond to und uses more than one marketer, you soon of your firm?	nation Filed  , consultant, finder, introducer, municipal o questions (b) through (g) below for each ou must complete questions (b) through (	n such marketer the g) separately for each
ou must answer "yes" whether the resimilar person. If the answer to rivate fund uses. If the private fund uses.  b) Is the marketer a related person.  c) Name of the marketer: AIF & PMS EXPERTS INDIA Pund.  d) If the marketer is registered to the private fund.	No Informine person acts as a placement agent question 28.(a) is "yes," respond to und uses more than one marketer, you soon of your firm?	nation Filed  , consultant, finder, introducer, municipal o questions (b) through (g) below for each ou must complete questions (b) through (	n such marketer the g) separately for each
fou must answer "yes" whether the resimilar person. If the answer to rivate fund uses. If the private fund uses.  b) Is the marketer a related person.  c) Name of the marketer:  AIF & PMS EXPERTS INDIA Pund) If the marketer is registered used.  and CRD Number (if any):	No Informine person acts as a placement agent of question 28.(a) is "yes," respond to an uses more than one marketer, you son of your firm?  TT LTD  with the SEC, its file number (e.g., 8)	nation Filed  , consultant, finder, introducer, municipal of questions (b) through (g) below for each ou must complete questions (b) through (	n such marketer the g) separately for each
ou must answer "yes" whether the similar person. If the answer to rivate fund uses. If the private fund to the marketer a related person to the marketer:  AIF & PMS EXPERTS INDIA Pund in the marketer is registered to the private fund the private fund to the privat	No Informine person acts as a placement agent question 28.(a) is "yes," respond to a great the second to g	nation Filed  , consultant, finder, introducer, municipal of questions (b) through (g) below for each ou must complete questions (b) through (d) throu	n such marketer the g) separately for each
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ou must answer "yes" whether the similar person. If the answer to rivate fund uses. If the private fund uses. If the private fund uses. If the private fund arketer.  D) Is the marketer a related person.  C) Name of the marketer:  AIF & PMS EXPERTS INDIA Pund) If the marketer is registered to and CRD Number (if any):  D) Location of the marketer's off City:  PUNE	No Inform the person acts as a placement agent of question 28.(a) is "yes," respond to and uses more than one marketer, yes as on of your firm?  TT LTD  with the SEC, its file number (e.g., 8) ice used principally by the private fur State:	nation Filed  , consultant, finder, introducer, municipal of questions (b) through (g) below for each ou must complete questions (b) through (d) throu	n such marketer the g) separately for each
ou must answer "yes" whether the similar person. If the answer to rivate fund uses. If the private fund uses. If the private fund uses. If the private fund arketer.  O) Is the marketer a related person.  AIF & PMS EXPERTS INDIA PUNDIA PUNDI	No Inform the person acts as a placement agent of question 28.(a) is "yes," respond to and uses more than one marketer, yes as on of your firm?  TT LTD  with the SEC, its file number (e.g., 8) ice used principally by the private fur State:	nation Filed  , consultant, finder, introducer, municipal of questions (b) through (g) below for each ou must complete questions (b) through (d) throu	Yes No.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

(D)	To the manufactor and total and a superior of the superior of			Yes	NO
	Is the marketer a <i>related person</i> of your firm?			0	⊙
c)	Name of the marketer: ANAND RATHI INTERNATIONAL VENTURES (IFSC)	PRIVATE LTD			
۹,	If the marketer is registered with the SEC its file of	number (e.g. 901 9 e	or 966 \r		
1)	If the marketer is registered with the SEC, its file n -	iumber ( <i>e.g.</i> , 601-, 6-, 0	ii 600-).		
	and CRD Number (if any):				
9)	Location of the marketer's office used principally by		• •		
	City: GANDHINAGAR	State:	Country: India		
				Yes	No
)	Does the marketer market the <i>private fund</i> through	h one or more websites?		0	•
J)	If the answer to question 28.(f) is "yes," list the we	ebsite address(es):  No Information File	d		
		NO INIORMATION FILE	20		
s riv	must answer "yes" whether the <i>person</i> acts as a plimilar <i>person</i> . If the answer to question 28.(a) is "yate fund uses more than o keter.	es," respond to question	is (b) through (g) below for each si	ich marketer the	
				Yes	No
b)	Is the marketer a <i>related person</i> of your firm?			0	•
c)	Name of the marketer: ARIHANT FIN CORP				
d)	If the marketer is registered with the SEC, its file n	number ( <i>e.g.</i> , 801-, 8-, o	or 866-):		
	_				
	and CRD Number (if any):				
e)	and CRD Number (if any):  Location of the marketer's office used principally by	y the <i>private fund</i> (city, s	state and country):		
e)	Location of the marketer's office used principally by City:	y the <i>private fund</i> (city, s State:	Country:		
e)	Location of the marketer's office used principally by		**	Yes	No
	Location of the marketer's office used principally by City:	State:	Country: India	Yes C	No ⊙
=)	Location of the marketer's office used principally by City: BANGALORE	State: h one or more websites?	Country: India	_	_
· )	Location of the marketer's office used principally by City: BANGALORE Does the marketer market the <i>private fund</i> through	State: h one or more websites?	Country: India	_	_
ou r s	Location of the marketer's office used principally by City: BANGALORE Does the marketer market the <i>private fund</i> through	State:  h one or more websites?  ebsite address(es):  No Information File  acement agent, consultares," respond to question	Country: India  ed  ant, finder, introducer, municipal ad as (b) through (g) below for each so	visor or other solici	tor,
ou rs	Location of the marketer's office used principally by City: BANGALORE  Does the marketer market the <i>private fund</i> through  If the answer to question 28.(f) is "yes," list the way  must answer "yes" whether the <i>person</i> acts as a plimilar <i>person</i> . If the answer to question 28.(a) is "yate fund uses. If the <i>private fund</i> uses more than o	State:  h one or more websites?  ebsite address(es):  No Information File  acement agent, consultares," respond to question	Country: India  ed  ant, finder, introducer, municipal ad as (b) through (g) below for each so	visor or other solici	€ ttor,
g) ou r s	Location of the marketer's office used principally by City: BANGALORE  Does the marketer market the <i>private fund</i> through  If the answer to question 28.(f) is "yes," list the way  must answer "yes" whether the <i>person</i> acts as a plimilar <i>person</i> . If the answer to question 28.(a) is "yate fund uses. If the <i>private fund</i> uses more than o	State:  h one or more websites?  ebsite address(es):  No Information File  acement agent, consultares," respond to question	Country: India  ed  ant, finder, introducer, municipal ad as (b) through (g) below for each so	visor or other solici uch marketer the separately for each	€ ttor,
ou r s riv nar	Location of the marketer's office used principally by City: BANGALORE  Does the marketer market the <i>private fund</i> through the answer to question 28.(f) is "yes," list the we must answer "yes" whether the <i>person</i> acts as a plantial person. If the answer to question 28.(a) is "yes," list the weak the person acts as a plantial person. If the private fund uses more than o keter.	State:  h one or more websites?  ebsite address(es):  No Information File  acement agent, consultares," respond to question	Country: India  ed  ant, finder, introducer, municipal ad as (b) through (g) below for each so	visor or other solici uch marketer the separately for each	ttor,

d) If the marketer is r								
and CRD Number (i	f any):							
e) Location of the mar	keter's office	used principally	by the <i>private fu</i>	<i>nd</i> (city, stat	te and country):			
City:		State	):		Country:			
MUMBAI					India			
							Yes	No
f) Does the marketer	market the <i>pr</i>	<i>rivate fund</i> throu	igh one or more v	websites?			0	⊚
g) If the answer to qu	estion 28.(f) i	s "yes," list the	website address(	es):				
			No Inform	nation Filed				
ou must answer "yes" r similar <i>person</i> . If the <i>rivate fund</i> uses. If the narketer.	answer to qu	estion 28.(a) is	yes," respond to	questions (	b) through (g) below	for each such ma	rketer the	
idi Keter.							Yes	N
b) Is the marketer a $r$	elated person	of your firm?					0	<b>⊙</b>
-> Na	<b>.</b>							
c) Name of the marke ASTUVIZ PARTNERS								
d) If the marketer is r	egistered with	the SEC its file	number (e.a. 8)	N1- 8- or 8	.66-)·			
i) Il tile illarketer is i	sgistered with	the SEC, its file	Hullibel (c.g., o					
-				01 , 0 , 0. 0	/-			
and CRD Number (i		used principally						
		used principally State:		<i>nd</i> (city, stat	te and country): ntry:			
e) Location of the mar City: DELHI	keter's office	State:	by the <i>private fu</i>	<i>nd</i> (city, stat Coul India	te and country): ntry:		Yes	_
e) Location of the mar City: DELHI	keter's office	State:	by the <i>private fu</i>	<i>nd</i> (city, stat Coul India	te and country): ntry:		Yes O	No ⊙
e) Location of the mar City: DELHI f) Does the marketer	keter's office market the <i>pr</i>	State: State:	by the <i>private ful</i> igh one or more v website address(	<i>nd</i> (city, stat Coul India websites? es):	te and country): ntry:		_	_
e) Location of the mar City: DELHI f) Does the marketer	keter's office market the <i>pr</i>	State: State:	by the <i>private ful</i> igh one or more v website address(	<i>nd</i> (city, stat Coul India websites?	te and country): ntry:		_	_
e) Location of the mar City: DELHI  f) Does the marketer g) If the answer to qu  ou must answer "yes" r similar person. If the rivate fund uses. If the	market the <i>pr</i> estion 28.(f) is whether the <i>p</i> answer to qu	State:  rivate fund through the service of acts as a sestion 28.(a) is service of the service of	by the <i>private full</i> Igh one or more v  Website address(  No Inform  placement agent,  "yes," respond to	nd (city, stat Cour India websites? es): nation Filed , consultant,	te and country): ntry: a finder, introducer, n b) through (g) belov	for each such ma	r other solici	©
e) Location of the mar City: DELHI  f) Does the marketer  g) If the answer to qu  ou must answer "yes" r similar person. If the rivate fund uses. If the	market the pression 28.(f) is whether the parameter to que private fund	State:  rivate fund throu s "yes," list the v  person acts as a estion 28.(a) is uses more than	by the <i>private full</i> Igh one or more v  Website address(  No Inform  placement agent,  "yes," respond to	nd (city, stat Cour India websites? es): nation Filed , consultant,	te and country): ntry: a finder, introducer, n b) through (g) belov	for each such ma	r other solici irketer the itely for each	<b>⊙</b> iitor,
E) Location of the mar City: DELHI  T) Does the marketer  G) If the answer to que  ou must answer "yes"  or similar person. If the  rivate fund uses. If the  narketer.	market the pression 28.(f) is whether the parameter to que private fund	State:  rivate fund throu s "yes," list the v  person acts as a estion 28.(a) is uses more than	by the <i>private full</i> Igh one or more v  Website address(  No Inform  placement agent,  "yes," respond to	nd (city, stat Cour India websites? es): nation Filed , consultant,	te and country): ntry: a finder, introducer, n b) through (g) belov	for each such ma	r other solic rketer the itely for each	<b>⊙</b> iitor,
c) Location of the mar City: DELHI  Does the marketer  The property of the answer to que  The property of the answer "yes"  The similar person. If the private fund uses. If the parketer.  The property of the marketer a result of the parketer.	market the pression 28.(f) is whether the pression answer to que private fund the private f	State:  ivate fund throu s "yes," list the v erson acts as a estion 28.(a) is uses more than of your firm?	by the <i>private full</i> Igh one or more v  Website address(  No Inform  placement agent,  "yes," respond to	nd (city, stat Cour India websites? es): nation Filed , consultant,	te and country): ntry: a finder, introducer, n b) through (g) belov	for each such ma	r other solici irketer the itely for each	itor,
e) Location of the mar City: DELHI  f) Does the marketer  g) If the answer to qu  ou must answer "yes" r similar person. If the rivate fund uses. If the narketer.  b) Is the marketer a re c) Name of the market BAKER STREET FIN	market the pression 28.(f) is whether the parameter and elated person ter:	State:  State:  Sivate fund through the state of your firm?  E LIMITED	by the <i>private full</i> Igh one or more website address(  No Inform  placement agent,  "yes," respond to one marketer, yo	nd (city, stat Cour India websites? es): nation Filed , consultant, o questions (lou must com	te and country): ntry: a  finder, introducer, n b) through (g) belov plete questions (b) t	for each such ma	r other solici irketer the itely for each	itor,
e) Location of the mar City: DELHI  f) Does the marketer  g) If the answer to qu  fou must answer "yes" or similar person. If the private fund uses. If the narketer.  b) Is the marketer a recommendation.	market the pression 28.(f) is whether the parameter answer to que private fund ter: TECH PRIVATE egistered with	State:  State:  Sivate fund through the state of your firm?  E LIMITED	by the <i>private full</i> Igh one or more website address(  No Inform  placement agent, "yes," respond to one marketer, yo	nd (city, stat Cour India websites? es): nation Filed , consultant, o questions (lou must com	te and country): ntry: a  finder, introducer, n b) through (g) belov plete questions (b) t	for each such ma	r other solici irketer the itely for each	itor,
e) Location of the mar City: DELHI  f) Does the marketer  g) If the answer to qu  fou must answer "yes" or similar person. If the person is the person is the person is the person in th	market the pression 28.(f) is whether the parameter answer to que private fund ter: TECH PRIVATE egistered with	State:  State:  Sivate fund through the state of your firm?  E LIMITED	by the <i>private full</i> Igh one or more website address(  No Inform  placement agent, "yes," respond to one marketer, yo	nd (city, stat Cour India websites? es): nation Filed , consultant, o questions (lou must com	te and country): ntry: a  finder, introducer, n b) through (g) belov plete questions (b) t	for each such ma	r other solici irketer the itely for each	itor,

City:	State:	Country:			
PUNE		India			
				Yes	N
) Does the marketer	market the <i>private fund</i> through	one or more websites?		0	0
) If the answer to qu	estion 28.(f) is "yes," list the wel	bsite address(es):			
		No Information Filed			_
u must answer "ves"	whether the <i>person</i> acts as a pla	scement agent consultant finds	ar introducer municipal a	dvisor or other solici	ito
similar <i>person</i> . If the	answer to question 28.(a) is "ye e private fund uses more than on	es," respond to questions (b) the	rough (g) below for each	such marketer the	
				Yes	N
) Is the marketer a <i>r</i>	elated person of your firm?			О	(
) Name of the marke	ter: .TH ADVISORY PVT LTD				
DELEWETTER WEAL	ADVISORIT VI EID				
) If the marketer is re	egistered with the SEC, its file nu	umber ( <i>e.g.</i> , 801-, 8-, or 866-):			
and CRD Number (i	f any):				
) Location of the mar	keter's office used principally by	the private fund (city, state and	d country):		
City:		State:	Country:		
NEW DELHI			India		
				Yes	r
Does the marketer	market the <i>private fund</i> through	one or more websites?		0	•
) If the answer to qu	estion 28.(f) is "yes," list the wel	bsite address(es):			
		No Information Filed			_
r similar <i>person</i> . If the	whether the <i>person</i> acts as a pla answer to question 28.(a) is "ye a <i>private fund</i> uses more than on	es," respond to questions (b) the	rough (g) below for each	such marketer the	
				Yes	
) Is the marketer a re	elated person of your firm?			c	(
Name of the marke					
d) If the marketer is r	egistered with the SEC, its file nu	umber ( <i>e.g.</i> , 801-, 8-, or 866-):			
and CRD Number (i	f any):				
	keter's office used principally by		d country):		
City: DELHI	State:	Country: India			
) Does the marketer	market the <i>private fund</i> through	one or more websites?		Yes O	· N
,	,			U	
) If the answer to qu	estion 28.(f) is "yes," list the wel				
		No Information Filed			

	s "yes," respond to questions (b) through (g) below for each n one marketer, you must complete questions (b) through (g	g) separately for each
b) Is the marketer a <i>related person</i> of your firm?		Yes No
c) Name of the marketer: BM FISCAL PRIVATE LTD		
d) If the marketer is registered with the SEC, its fi	le number ( <i>e.g.</i> , 801-, 8-, or 866-):	
and CRD Number (if any):		
e) Location of the marketer's office used principally City: State: PUNE	y by the <i>private fund</i> (city, state and country):  Country:  India	
		Yes No
f) Does the marketer market the <i>private fund</i> thro	ough one or more websites?	○ ●
g) If the answer to question 28.(f) is "yes," list the	e website address(es): No Information Filed	
fou must answer "yes" whether the <i>person</i> acts as a or similar <i>person</i> . If the answer to question 28.(a) is		such marketer the
fou must answer "yes" whether the <i>person</i> acts as a or similar <i>person</i> . If the answer to question 28.(a) is	No Information Filed  a placement agent, consultant, finder, introducer, municipal as "yes," respond to questions (b) through (g) below for each	such marketer the
ou must answer "yes" whether the <i>person</i> acts as a r similar <i>person</i> . If the answer to question 28.(a) is <i>rivate fund</i> uses. If the <i>private fund</i> uses more tha	No Information Filed  a placement agent, consultant, finder, introducer, municipal as "yes," respond to questions (b) through (g) below for each	such marketer the
ou must answer "yes" whether the <i>person</i> acts as a serial similar person. If the answer to question 28.(a) is a similar person. If the private fund uses more tha	No Information Filed  a placement agent, consultant, finder, introducer, municipal as "yes," respond to questions (b) through (g) below for each	such marketer the g) separately for each
ou must answer "yes" whether the <i>person</i> acts as a r similar <i>person</i> . If the answer to question 28.(a) is rivate fund uses. If the <i>private fund</i> uses more tha narketer.  b) Is the marketer a <i>related person</i> of your firm?	No Information Filed  a placement agent, consultant, finder, introducer, municipal as "yes," respond to questions (b) through (g) below for each	such marketer the g) separately for each Yes No
You must answer "yes" whether the <i>person</i> acts as a resimilar <i>person</i> . If the answer to question 28.(a) is arrivate fund uses. If the <i>private fund</i> uses more than narketer.  b) Is the marketer a <i>related person</i> of your firm?  c) Name of the marketer:	No Information Filed  a placement agent, consultant, finder, introducer, municipal as "yes," respond to questions (b) through (g) below for each n one marketer, you must complete questions (b) through (g)	such marketer the g) separately for each Yes No
You must answer "yes" whether the <i>person</i> acts as a resimilar <i>person</i> . If the answer to question 28.(a) is a rivate fund uses. If the <i>private fund</i> uses more that the narketer.  (b) Is the marketer a <i>related person</i> of your firm?  (c) Name of the marketer:  (d) CG ASSOCIATES LLP	No Information Filed  a placement agent, consultant, finder, introducer, municipal as "yes," respond to questions (b) through (g) below for each n one marketer, you must complete questions (b) through (g)	such marketer the g) separately for each Yes No
ou must answer "yes" whether the <i>person</i> acts as a r similar <i>person</i> . If the answer to question 28.(a) is rivate fund uses. If the <i>private fund</i> uses more than arketer.  b) Is the marketer a <i>related person</i> of your firm?  c) Name of the marketer:   CG ASSOCIATES LLP  d) If the marketer is registered with the SEC, its fire and CRD Number (if any):	No Information Filed  a placement agent, consultant, finder, introducer, municipal as "yes," respond to questions (b) through (g) below for each none marketer, you must complete questions (b) through (g) throug	such marketer the g) separately for each Yes No
ou must answer "yes" whether the <i>person</i> acts as a r similar <i>person</i> . If the answer to question 28.(a) is rivate fund uses. If the <i>private fund</i> uses more than arketer.  b) Is the marketer a <i>related person</i> of your firm?  c) Name of the marketer:   CG ASSOCIATES LLP  d) If the marketer is registered with the SEC, its fire and CRD Number (if any):	No Information Filed  a placement agent, consultant, finder, introducer, municipal as "yes," respond to questions (b) through (g) below for each none marketer, you must complete questions (b) through (g) throug	such marketer the g) separately for each  Yes No
ou must answer "yes" whether the <i>person</i> acts as a r similar <i>person</i> . If the answer to question 28.(a) is rivate fund uses. If the <i>private fund</i> uses more than arketer.  b) Is the marketer a <i>related person</i> of your firm?  c) Name of the marketer:   CG ASSOCIATES LLP  d) If the marketer is registered with the SEC, its fire and CRD Number (if any):  e) Location of the marketer's office used principally City:   Sta MUMBAI	No Information Filed  a placement agent, consultant, finder, introducer, municipal as "yes," respond to questions (b) through (g) below for each none marketer, you must complete questions (b) through (g) throug	such marketer the g) separately for each Yes No
ou must answer "yes" whether the <i>person</i> acts as a r similar <i>person</i> . If the answer to question 28.(a) is rivate fund uses. If the <i>private fund</i> uses more than arketer.  b) Is the marketer a <i>related person</i> of your firm?  c) Name of the marketer:   CG ASSOCIATES LLP  d) If the marketer is registered with the SEC, its fire and CRD Number (if any):  e) Location of the marketer's office used principally City:   Star MUMBAI	No Information Filed  a placement agent, consultant, finder, introducer, municipal as "yes," respond to questions (b) through (g) below for each none marketer, you must complete questions (b) through (g) throug	yes No

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

			Yes N	No
b) Is the marketer a related p	person of your firm?		0 0	•
A Name of the control of				
c) Name of the marketer: CREST FINSERVE LTD				
d) If the marketer is registere	ed with the SEC, its file number (e	.g., 801-, 8-, or 866-):		
and CRD Number (if any):				
( <sub>1</sub> ),				
a) Location of the marketor's	office used principally by the <i>priva</i>	ata fund (city, ctata and country);		
City:	State:	Country:		
MUMBAI		India		
f) Does the marketer market	the <i>private fund</i> through one or n	nore websites?	Yes N	
) Does the marketer market	the private rand through one of h	note websites.	0 (	⊚
g) If the answer to question 2	28.(f) is "yes," list the website add	lress(es):		
	No I	nformation Filed		
ou must answer "ves" whethe	er the <i>person</i> acts as a placement :	agent, consultant, finder, introducer, municipal a	idvisor or other solicita	or
		ond to questions (b) through (g) below for each		Л,
<i>rivate fund</i> uses. If the <i>privat</i> narketer.	e fund uses more than one market	ter, you must complete questions (b) through (g	) separately for each	
idi Keteli				
			Yes N	No
o) Is the marketer a <i>related</i> p	person of your firm?		0 (	•
c) Name of the marketer: DARSHIT KAPADIA				
d) If the marketer is registere	ed with the SEC, its file number ( <i>e</i>	.g., 801-, 8-, or 866-):		
and CRD Number (if any):				
e) Location of the marketer's	office used principally by the priva	ate fund (city state and country):		
City:	State:	Country:		
VALSAD		India		
f) Doos the marketer market	the private fund through one or n	noro wahsitas?	Yes N	_
i) Does the marketer market	the <i>private fund</i> through one or n	nore websites?	0 (	⊚
g) If the answer to question 2	28.(f) is "yes," list the website add	lress(es):		
	No I	nformation Filed		
or similar <i>person</i> . If the answe	r to question 28.(a) is "yes," respo	agent, consultant, finder, introducer, municipal a ond to questions (b) through (g) below for each ter, you must complete questions (b) through (g	such marketer the	or,
			Yes N	Nο
b) Is the marketer a <i>related</i> p	person of your firm?		_	•
·			,	~
c) Name of the marketer:				
DAVINDER SINGH				

(d)	If the marketer is registered with the SEC	z, its ille fluifiber (e.g	,,, 002 , 0 , 0. 000 ,.	•			
	and CRD Number (if any):						
e)	Location of the marketer's office used pri	ncipally by the <i>privat</i>	te fund (city, state and	d country):			
	City:	State:	Coun	itry:			
	MUMBAI		India	ı			
f)	Does the marketer market the <i>private fur</i>	nd through one or me	ore websites?			S	_
')	boes the marketer market the private rar	in ough one of the	ore websites:		C		⊚
g)	If the answer to question 28.(f) is "yes,"	list the website addr	ess(es):				
		No In	formation Filed				
r s riv	must answer "yes" whether the <i>person</i> ac imilar <i>person</i> . If the answer to question 20 tate fund uses. If the <i>private fund</i> uses mo	8.(a) is "yes," respor	nd to questions (b) th	rough (g) below for ea	ach such marketer the		or,
ıar	keter.				V	s	N
b)	Is the marketer a <i>related person</i> of your f	firm?			0		<b>⊙</b>
<b>c</b> )	Name of the marketer:						
	DAVOS FINSERVICES PRIVATE LIMITED						
d)	If the marketer is registered with the SEC	C, its file number (e.g	g., 801-, 8-, or 866-):	:			
	_						
	and CRD Number (if any):						
e)	and CRD Number (if any):  Location of the marketer's office used print	ncipally by the <i>privat</i>	e fund (city, state and	d country):			
e)	Location of the marketer's office used princity:	ncipally by the <i>prival</i> State:	Coun	itry:			
e)	Location of the marketer's office used prin		. ,,	itry:	Vo		Na
	Location of the marketer's office used princity: MUMBAI	State:	Coun India	itry:		s	_
	Location of the marketer's office used princity:	State:	Coun India	itry:	Ye C		No ⊙
=)	Location of the marketer's office used princity: MUMBAI	State:  nd through one or mo	Coun India ore websites?	itry:			_
f)	Location of the marketer's office used princity: MUMBAI  Does the marketer market the <i>private fur</i>	State:  nd through one or mo	Coun India ore websites?	itry:			_
g) ou rs	Location of the marketer's office used princity: MUMBAI  Does the marketer market the <i>private fur</i>	State:  Ind through one or more list the website addr No In  tts as a placement as 8.(a) is "yes," respon	Coun India ore websites?  ess(es): formation Filed  gent, consultant, findent to questions (b) the	er, introducer, municip	al advisor or other sol	cit	•
g) ou r s	Location of the marketer's office used princity: MUMBAI  Does the marketer market the private fun  If the answer to question 28.(f) is "yes,"  must answer "yes" whether the person actimilar person. If the answer to question 28 atte fund uses. If the private fund uses more there.	State:  Ind through one or more than one markete	Coun India ore websites?  ess(es): formation Filed  gent, consultant, findent to questions (b) the	er, introducer, municip	al advisor or other sol ach such marketer the n (g) separately for ea	cit	or,
ou r s riv	Location of the marketer's office used print City:  MUMBAI  Does the marketer market the private fun  If the answer to question 28.(f) is "yes,"  must answer "yes" whether the person actimilar person. If the answer to question 28 ate fund uses. If the private fund uses more contacts of the private fund uses more contacts of the private fund uses more contacts.	State:  Ind through one or more than one markete	Coun India ore websites?  ess(es): formation Filed  gent, consultant, findent to questions (b) the	er, introducer, municip	al advisor or other sol ach such marketer the n (g) separately for ea	cito	or,
ou r s riv nar	Location of the marketer's office used princity: MUMBAI  Does the marketer market the private fun  If the answer to question 28.(f) is "yes,"  must answer "yes" whether the person actimilar person. If the answer to question 28 atte fund uses. If the private fund uses more there.	State:  Ind through one or more than one markete state.  State:  No In  No In  State:  No In  No In  No In  No In  No In  State:  No In  No In	Coun India ore websites?  ess(es): formation Filed  gent, consultant, findent to questions (b) the	er, introducer, municip	al advisor or other sol ach such marketer the n (g) separately for ea	cito	or,
g)  ou r s rriv nar	Location of the marketer's office used princity:  MUMBAI  Does the marketer market the private fund  If the answer to question 28.(f) is "yes,"  must answer "yes" whether the person accomminar person. If the answer to question 28 attended uses. If the private fund uses more than the person of your to the marketer a related person of your to the Name of the marketer:	State:  Ind through one or more than one markete  PRIVATE LIMITED	Coun India ore websites?  ess(es): formation Filed  gent, consultant, finden to questions (b) the complete or	er, introducer, municip rough (g) below for ea e questions (b) through	al advisor or other sol ach such marketer the n (g) separately for ea	cito	or,
f) g)  outour soriv mair b)	Location of the marketer's office used princity:  MUMBAI  Does the marketer market the private fun  If the answer to question 28.(f) is "yes,"  must answer "yes" whether the person actimilar person. If the answer to question 28 attends uses. If the private fund uses more than the person of your than the person of your than the private fund uses more than the person of your than the perso	State:  Ind through one or more than one markete  PRIVATE LIMITED	Coun India ore websites?  ess(es): formation Filed  gent, consultant, finden to questions (b) the complete or	er, introducer, municip rough (g) below for ea e questions (b) through	al advisor or other sol ach such marketer the n (g) separately for ea	cito	or,
f) g) fou or sorriv nan b) c)	Location of the marketer's office used princity: MUMBAI  Does the marketer market the private fun  If the answer to question 28.(f) is "yes,"  must answer "yes" whether the person actimilar person. If the answer to question 28 ate fund uses. If the private fund uses morketer.  Is the marketer a related person of your to the marketer: DHANA VRUKSHA FINANCIAL SERVICES IS  If the marketer is registered with the SEC	State:  Ind through one or more than one markete  PRIVATE LIMITED  C, its file number (e.g.)	Coun India ore websites?  ess(es): formation Filed  gent, consultant, findend to questions (b) the present of t	er, introducer, municip rough (g) below for ea e questions (b) through	al advisor or other sol ach such marketer the n (g) separately for ea	cito	or,

City:	State:	Country:	
CHENNAI		India	
(i) Dogo the manifestation of the first	national found blancools	heitee?	Yes
) Does the marketer market the	<i>private fund</i> through one or more wel	DSITES?	0
) If the answer to question 28.(	f) is "yes," list the website address(es)	):	
	No Informat	ion Filed	
r similar <i>person</i> . If the answer to	question 28.(a) is "yes," respond to question 28.	onsultant, finder, introducer, municipal a uestions (b) through (g) below for each must complete questions (b) through (g	such marketer the
			Yes
) Is the marketer a related pers	on of your firm?		O
) Name of the marketer: DHIRAJ DEORE			
) If the marketer is registered w	with the SEC, its file number ( $e.g.$ , 801	-, 8-, or 866-):	
and CRD Number (if any):			
•	ce used principally by the <i>private fund</i>		
City: BANGALORE	State:	Country: India	
B) III O) LEGICE		India	Yes
) Does the marketer market the	private fund through one or more well	bsites?	O
ı) If the answer to question 28 (	f) is "yes," list the website address(es)	):	
y in the dribble to question 20.(	No Informat		
r similar <i>person</i> . If the answer to	question 28.(a) is "yes," respond to question 28.	onsultant, finder, introducer, municipal a uestions (b) through (g) below for each must complete questions (b) through (g	such marketer the
o) Is the marketer a <i>related pers</i>	on of your firm?		Yes
) Name of the marketer: DIPAN VIJAYKUMAR MEHTA			
) If the marketer is registered w	with the SEC, its file number ( $e.g.$ , 801	-, 8-, or 866-):	
and CRD Number (if any):			
) Location of the marketer's offi	ce used principally by the <i>private fund</i> State:	(city, state and country):  Country:	
SURAT	State.	India	
			Yes
) Does the marketer market the	<i>private fund</i> through one or more wel	bsites?	0
) If the answer to question 29 /	f) is "ves " list the website address(es)	);	
) if the answer to question 28.(	f) is "yes," list the website address(es) No Informat		

private fund uses. If the private fund narketer.	nd uses more than one markete	; you must complete questions (b) through (o	g) separately for each
b) Is the marketer a <i>related pers</i>	on of your firm?		Yes No
c) Name of the marketer: EASY INVESTMENTS			
d) If the marketer is registered v	with the SEC, its file number ( $e.g$	., 801-, 8-, or 866-):	
and CRD Number (if any):			
e) Location of the marketer's offi City: CHENNAI	ce used principally by the <i>privat</i> on	e fund (city, state and country):  Country: India	
			Yes No
f) Does the marketer market the	e private fund through one or mo	re websites?	0 0
g) If the answer to question 28.(		ss(es): ormation Filed	
ou must answer "yes" whether th r similar <i>person</i> . If the answer to <i>rivate fund</i> uses. If the <i>private fu</i>	No Inf  e <i>person</i> acts as a placement ag question 28.(a) is "yes," respon		such marketer the
ou must answer "yes" whether th r similar <i>person</i> . If the answer to <i>rivate fund</i> uses. If the <i>private fu</i>	No Inf  e <i>person</i> acts as a placement ag question 28.(a) is "yes," respon	ent, consultant, finder, introducer, municipal a	such marketer the
ou must answer "yes" whether th r similar <i>person</i> . If the answer to	No Inf le <i>person</i> acts as a placement ag question 28.(a) is "yes," respon <i>nd</i> uses more than one markete	ent, consultant, finder, introducer, municipal a	such marketer the g) separately for each
ou must answer "yes" whether the similar person. If the answer to rivate fund uses. If the private funarketer.  b) Is the marketer a related pers	No Infine person acts as a placement ag question 28.(a) is "yes," responnd uses more than one marketer on of your firm?	ent, consultant, finder, introducer, municipal a	such marketer the g) separately for each Yes No
ou must answer "yes" whether the r similar person. If the answer to rivate fund uses. If the private funarketer.  b) Is the marketer a related person.	No Infine person acts as a placement ag question 28.(a) is "yes," respon and uses more than one marketer on of your firm?	ent, consultant, finder, introducer, municipal ad to questions (b) through (g) below for each r, you must complete questions (b) through (g	such marketer the g) separately for each Yes No
ou must answer "yes" whether the similar person. If the answer to rivate fund uses. If the private fund uses. If the private fund uses are fund uses. If the private fund uses are fund uses.  b) Is the marketer a related person Name of the marketer:  ECHO WEALTH PRIVATE LIMIT	No Infine person acts as a placement ag question 28.(a) is "yes," respon and uses more than one marketer on of your firm?	ent, consultant, finder, introducer, municipal ad to questions (b) through (g) below for each r, you must complete questions (b) through (g	such marketer the g) separately for each Yes No
ou must answer "yes" whether the similar person. If the answer to rivate fund uses. If the private fund narketer.  b) Is the marketer a related person Name of the marketer:  ECHO WEALTH PRIVATE LIMIT  d) If the marketer is registered was and CRD Number (if any):	No Infine person acts as a placement ag question 28.(a) is "yes," respon and uses more than one marketer on of your firm?  ED  with the SEC, its file number (e.g.)	ent, consultant, finder, introducer, municipal and to questions (b) through (g) below for each r, you must complete questions (b) through (g)	such marketer the g) separately for each Yes No
ou must answer "yes" whether the similar person. If the answer to rivate fund uses. If the private fund narketer.  D) Is the marketer a related person Name of the marketer:  ECHO WEALTH PRIVATE LIMITED If the marketer is registered was and CRD Number (if any):	No Infine person acts as a placement ag question 28.(a) is "yes," respon and uses more than one marketer on of your firm?  ED  with the SEC, its file number (e.g.)	ent, consultant, finder, introducer, municipal and to questions (b) through (g) below for each r, you must complete questions (b) through (g)	such marketer the g) separately for each  Yes No
ou must answer "yes" whether the similar person. If the answer to rivate fund uses. If the private fund uses. If the private fund uses. If the private fund arketer.  D) Is the marketer a related person.  C) Name of the marketer:  ECHO WEALTH PRIVATE LIMITED IN The marketer is registered we and CRD Number (if any):  E) Location of the marketer's officity:  KERALA	No Infine person acts as a placement ag question 28.(a) is "yes," respon nd uses more than one marketer on of your firm?  ED  with the SEC, its file number (e.g.)	ent, consultant, finder, introducer, municipal and to questions (b) through (g) below for each recommendation, you must complete questions (b) through (g) through	such marketer the g) separately for each Yes No
ou must answer "yes" whether the resimilar person. If the answer to rivate fund uses. If the private fund uses. If the private fund uses. If the private fund the marketer.  b) Is the marketer a related person.  c) Name of the marketer:  ECHO WEALTH PRIVATE LIMIT  d) If the marketer is registered very and CRD Number (if any):  e) Location of the marketer's officity:  KERALA	No Infine person acts as a placement ag question 28.(a) is "yes," respon nd uses more than one marketer on of your firm?  ED with the SEC, its file number (e.g. ce used principally by the private State:	ent, consultant, finder, introducer, municipal and to questions (b) through (g) below for each recommendation, you must complete questions (b) through (g).  1., 801-, 8-, or 866-):  1. Country:  India  1. India  1. India	Yes No.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

(b)			Yes	N
	Is the marketer a <i>related person</i> of your firm?		0	6
c)	Name of the marketer:			
	EDTVIN SERVICES PVT LTD			
d)	If the marketer is registered with the SEC, its file num	nber ( <i>e.g.</i> , 801-, 8-, or 866-):		
	-			
	and CRD Number (if any):			
e)	Location of the marketer's office used principally by th	ne <i>private fund</i> (city, state and country):		
	City: State:	Country:		
	DELHI	India	Yes	R.I
)	Does the marketer market the <i>private fund</i> through o	ne or more websites?	res O	G.
J)	If the answer to question 28.(f) is "yes," list the webs	ite address(es):  No Information Filed		
s iv	milar <i>person</i> . If the answer to question 28.(a) is "yes,	ement agent, consultant, finder, introducer, municipal ad, "respond to questions (b) through (g) below for each somarketer, you must complete questions (b) through (g)	uch marketer the	
			Yes	N
))	Is the marketer a related person of your firm?		0	0
:)	Name of the marketer: ELARA CAPITAL PLC			
i)	If the marketer is registered with the SEC, its file num	nber ( <i>e.g.</i> , 801-, 8-, or 866-):		
	and CRD Number (if any):			
e)	Location of the marketer's office used principally by th	ne <i>private fund</i> (city, state and country):		
e)	Location of the marketer's office used principally by th City: State:	he <i>private fund</i> (city, state and country):  Country:		
e)				
	City: State: LONDON	Country: United Kingdom	Yes	N
	City: State:	Country: United Kingdom	Yes O	N G
)	City: State: LONDON	Country: United Kingdom one or more websites?	_	
)	City: State: LONDON Does the marketer market the <i>private fund</i> through o	Country: United Kingdom one or more websites?	_	
)	City: State: LONDON Does the marketer market the <i>private fund</i> through o	Country: United Kingdom  one or more websites?  site address(es):	_	
) J) Du	City: State:  LONDON  Does the marketer market the <i>private fund</i> through of the answer to question 28.(f) is "yes," list the webs must answer "yes" whether the <i>person</i> acts as a place milar <i>person</i> . If the answer to question 28.(a) is "yes,"	Country: United Kingdom  one or more websites?  site address(es):	visor or other solicituch marketer the	itoi
ou r s	City: State:  LONDON  Does the marketer market the <i>private fund</i> through of the answer to question 28.(f) is "yes," list the webs must answer "yes" whether the <i>person</i> acts as a place milar <i>person</i> . If the answer to question 28.(a) is "yes, the fund uses. If the <i>private fund</i> uses more than one	Country: United Kingdom  one or more websites?  site address(es): No Information Filed  ement agent, consultant, finder, introducer, municipal addrespond to questions (b) through (g) below for each su	visor or other solicituch marketer the	itoi
ou r s riv	City: State:  LONDON  Does the marketer market the <i>private fund</i> through of the answer to question 28.(f) is "yes," list the webs must answer "yes" whether the <i>person</i> acts as a place milar <i>person</i> . If the answer to question 28.(a) is "yes, the fund uses. If the <i>private fund</i> uses more than one	Country: United Kingdom  one or more websites?  site address(es): No Information Filed  ement agent, consultant, finder, introducer, municipal addrespond to questions (b) through (g) below for each su	visor or other solicit uch marketer the separately for each	itoi
ou r s riv	City: State:  LONDON  Does the marketer market the <i>private fund</i> through of the answer to question 28.(f) is "yes," list the webs must answer "yes" whether the <i>person</i> acts as a place milar <i>person</i> . If the answer to question 28.(a) is "yes, the fund uses. If the <i>private fund</i> uses more than one seter.	Country: United Kingdom  one or more websites?  site address(es): No Information Filed  ement agent, consultant, finder, introducer, municipal addrespond to questions (b) through (g) below for each su	visor or other solicituch marketer the separately for each	itor N

(d)	If the marketer is registered with the SEC, it	s file number (e.g., 80)	, , , , , , , , , , , , , , , , , , , ,		
	and CRD Number (if any):				
e)	Location of the marketer's office used princip	pally by the <i>private fund</i>	d (city, state and country):		
•		State:	Country:		
	MUMBAI		India		
				Yes	No
f)	Does the marketer market the <i>private fund</i> t	through one or more we	ebsites?	0	•
9)	If the answer to question 28.(f) is "yes," list	the website address(es	s):		
		No Informa	tion Filed		
s siv	must answer "yes" whether the <i>person</i> acts a imilar <i>person</i> . If the answer to question 28.(a ate fund uses. If the <i>private fund</i> uses more taketer.	a) is "yes," respond to (	questions (b) through (g) below fo	r each such marketer the	or
ıaı	retei.			Yes	N
၁)	Is the marketer a <i>related person</i> of your firm	1?		c	•
-)	Name of the marketer:				
-)	EQUIRIUS WEALTH PVT LTD				
1)	If the marketer is registered with the SEC, it	s file number (e.a., 80)	1 8 or 866-):		
	in the marketer is registered with the see, it	5 me namber (e.g., oo.	1 , 0 , 01 000 ).		
,	-				
•	and CRD Number (if any):				
		nally by the <i>private fun</i>	d (city, state and country):		
	Location of the marketer's office used princip				
	Location of the marketer's office used princip	pally by the <i>private fund</i> State:	d (city, state and country): Country: India		
≘)	Location of the marketer's office used princip City:	State:	Country: India	Yes !	No
<del>2</del> )	Location of the marketer's office used princip City:	State:	Country: India	_	No ⊙
e)	Location of the marketer's office used princip City:	State: hrough one or more we	Country: India ebsites?	_	
e)	Location of the marketer's office used princip City: MUMBAI  Does the marketer market the <i>private fund</i> to	State: hrough one or more we	Country: India  absites?	_	
e) ou rs	Location of the marketer's office used princip City: MUMBAI  Does the marketer market the <i>private fund</i> to	the website address(es  No Informa  as a placement agent, of is "yes," respond to of	Country: India  absites?  b): tion Filed  consultant, finder, introducer, mun questions (b) through (g) below fo	icipal advisor or other solicitor each such marketer the	•
e) ou r s riv nar	Location of the marketer's office used princip City:  MUMBAI  Does the marketer market the <i>private fund</i> to If the answer to question 28.(f) is "yes," list must answer "yes" whether the <i>person</i> acts imilar <i>person</i> . If the answer to question 28.(ate fund uses. If the <i>private fund</i> uses more taketer.	the website address(es  No Informa  as a placement agent, a  is "yes," respond to a  than one marketer, you	Country: India  absites?  b): tion Filed  consultant, finder, introducer, mun questions (b) through (g) below fo	icipal advisor or other solicitor each such marketer the bugh (g) separately for each	or,
e) ou rs riv	Location of the marketer's office used princip City:  MUMBAI  Does the marketer market the <i>private fund</i> to the substitution of the marketer market the private fund to the substitution of the substitution	the website address(es  No Informa  as a placement agent, a  is "yes," respond to a  than one marketer, you	Country: India  absites?  b): tion Filed  consultant, finder, introducer, mun questions (b) through (g) below fo	icipal advisor or other solicitor each such marketer the bugh (g) separately for each	or,
ou rs riv nar	Location of the marketer's office used princip City:  MUMBAI  Does the marketer market the <i>private fund</i> to If the answer to question 28.(f) is "yes," list must answer "yes" whether the <i>person</i> acts imilar <i>person</i> . If the answer to question 28.(ate fund uses. If the <i>private fund</i> uses more taketer.	the website address(es  No Informa  as a placement agent, a  is "yes," respond to a  than one marketer, you	Country: India  absites?  b): tion Filed  consultant, finder, introducer, mun questions (b) through (g) below fo	icipal advisor or other solicitor each such marketer the bugh (g) separately for each	or,
e)  f)  out	Location of the marketer's office used princip City:  MUMBAI  Does the marketer market the private fund to the state of the answer to question 28.(f) is "yes," list must answer "yes" whether the person acts a similar person. If the answer to question 28.(a ate fund uses. If the private fund uses more to keter.  Is the marketer a related person of your firm. Name of the marketer:	the website address(es No Informa as a placement agent, a) is "yes," respond to a than one marketer, you	Country: India ebsites?  ition Filed  consultant, finder, introducer, mun questions (b) through (g) below for must complete questions (b) thro	icipal advisor or other solicitor each such marketer the bugh (g) separately for each	or,
e) f) g) fourserive narrive b)	Location of the marketer's office used princip.  City:  MUMBAI  Does the marketer market the <i>private fund</i> to the second of the marketer a <i>related person</i> of your firm.  Name of the marketer:  FELP	the website address(es No Informa as a placement agent, a) is "yes," respond to a than one marketer, you	Country: India ebsites?  ition Filed  consultant, finder, introducer, mun questions (b) through (g) below for must complete questions (b) thro	icipal advisor or other solicitor each such marketer the bugh (g) separately for each	or,
ou r s s c s c s c s c s c s c s c s c s c	Location of the marketer's office used princip City:  MUMBAI  Does the marketer market the private fund to the answer to question 28.(f) is "yes," list must answer "yes" whether the person acts a similar person. If the answer to question 28.(a ate fund uses. If the private fund uses more taketer.  Is the marketer a related person of your firm. Name of the marketer: FELP  If the marketer is registered with the SEC, it -	the website address(es No Informa as a placement agent, a) is "yes," respond to a than one marketer, you	Country: India ebsites?  ition Filed  consultant, finder, introducer, mun questions (b) through (g) below for must complete questions (b) thro	icipal advisor or other solicitor each such marketer the bugh (g) separately for each	or,

City:	State:	Country:		
MUMBAI		India	Yes	
Does the marketer market the <i>private fun</i>	d through one or more web	sites?	O	6
If the answer to question 28.(f) is "yes," li	ist the website address(es):			
	No Information			
must answer "yes" whether the <i>person</i> act similar <i>person</i> . If the answer to question 28 vate fund uses. If the <i>private fund</i> uses mor rketer.	3.(a) is "yes," respond to qu	estions (b) through (g) below for each	such marketer the	
Is the marketer a <i>related person</i> of your fi	iirm?		Yes	
13 the marketer a related person of your h			c	(
Name of the marketer: FINFIVE MANAGEMENT PVT LTD				
If the marketer is registered with the SEC,	, its file number (e.g., 801-	, 8-, or 866-):		
and CRD Number (if any):				
Location of the marketer's office used prin				
City: AHMEDABAD	State:	Country: India		
Does the monketon monket the private fun	ed through one or more web	oitas?	Yes	N
Does the marketer market the <i>private fun</i>	a through one or more web	sites?	О	0
If the answer to question 28.(f) is "yes," li	ist the website address(es):	:		
	No Information	on Filed		_
must answer "yes" whether the <i>person</i> actionsimilar <i>person</i> . If the answer to question 28 wate fund uses. If the <i>private fund</i> uses more related.  Is the marketer a <i>related person</i> of your fi	3.(a) is "yes," respond to qu re than one marketer, you n	estions (b) through (g) below for each	such marketer the	h
similar <i>person</i> . If the answer to question 28 vate fund uses. If the <i>private fund</i> uses mon rketer.	3.(a) is "yes," respond to qu re than one marketer, you n	estions (b) through (g) below for each	such marketer the g) separately for each Yes	h N
similar <i>person</i> . If the answer to question 28 vate fund uses. If the <i>private fund</i> uses more rketer.  Is the marketer a <i>related person</i> of your fill the marketer.	3.(a) is "yes," respond to qu re than one marketer, you n irm?	estions (b) through (g) below for each nust complete questions (b) through (	such marketer the g) separately for each Yes	h N
similar <i>person</i> . If the answer to question 28 vate fund uses. If the <i>private fund</i> uses more related.  Is the marketer a <i>related person</i> of your find the marketer:  FINWIZARD TECHNOLOGY PVT LTD	3.(a) is "yes," respond to qu re than one marketer, you n irm?	estions (b) through (g) below for each nust complete questions (b) through (	such marketer the g) separately for each Yes	h N
similar person. If the answer to question 28 vate fund uses. If the private fund uses more related uses more related person of your fill Name of the marketer:  FINWIZARD TECHNOLOGY PVT LTD  If the marketer is registered with the SEC and CRD Number (if any):  Location of the marketer's office used prince.	3.(a) is "yes," respond to que re than one marketer, you no firm?  5. its file number (e.g., 801-	estions (b) through (g) below for each nust complete questions (b) through (g)	such marketer the g) separately for each Yes	h N
similar person. If the answer to question 28 vate fund uses. If the private fund uses more related related person of your fill Name of the marketer:  FINWIZARD TECHNOLOGY PVT LTD  If the marketer is registered with the SEC, and CRD Number (if any):	3.(a) is "yes," respond to que re than one marketer, you no firm?	estions (b) through (g) below for each nust complete questions (b) through (g)	such marketer the  y) separately for each  Yes  C	h N
similar person. If the answer to question 28 vate fund uses. If the private fund uses more related uses more related person of your fill Name of the marketer:  FINWIZARD TECHNOLOGY PVT LTD  If the marketer is registered with the SEC, and CRD Number (if any):  Location of the marketer's office used print City:	3.(a) is "yes," respond to que re than one marketer, you not repair that it is state.	nust complete questions (b) through (g) below for each nust complete questions (b) through (g), 8-, or 866-):  (city, state and country):  Country: India	such marketer the g) separately for each Yes	h N

You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) bel <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) marketer.	ow for each such marketer the
	Yes No
(b) Is the marketer a <i>related person</i> of your firm?	○ ●
(c) Name of the marketer: FISSION WEALTH PRIVATE LIMITED	
(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):	
and CRD Number (if any):	
(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):  City: State: Country:	
MUMBAI India	Yes No
(O. Donaldon and International Control of the Contr	C ©
(f) Does the marketer market the <i>private fund</i> through one or more websites?	
(g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer	-
(g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed	ow for each such marketer the
(g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) belorivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b)	ow for each such marketer the
(ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) belorivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b)	ow for each such marketer the ) through (g) separately for each
(g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) belorivate fund uses. If the private fund uses more than one marketer, you must complete questions (b) marketer.  (b) Is the marketer a related person of your firm?	ow for each such marketer the ) through (g) separately for each  Yes No
(g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) belorivate fund uses. If the private fund uses more than one marketer, you must complete questions (b) marketer.  (b) Is the marketer a related person of your firm?	ow for each such marketer the ) through (g) separately for each  Yes No
g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) belorivate fund uses. If the private fund uses more than one marketer, you must complete questions (b) marketer.  (b) Is the marketer a related person of your firm?  (c) Name of the marketer:  FLEXICAPITAL PRIVATE LIMITED	ow for each such marketer the ) through (g) separately for each  Yes No
(g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) belorivate fund uses. If the private fund uses more than one marketer, you must complete questions (b) marketer.  (b) Is the marketer a related person of your firm?  (c) Name of the marketer:  FLEXICAPITAL PRIVATE LIMITED  (d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):	ow for each such marketer the ) through (g) separately for each  Yes No
(ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) belorivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) marketer.  (b) Is the marketer a <i>related person</i> of your firm?  (c) Name of the marketer:  FLEXICAPITAL PRIVATE LIMITED  (d) If the marketer is registered with the SEC, its file number ( <i>e.g.</i> , 801-, 8-, or 866-):  - and CRD Number (if any):	ow for each such marketer the ) through (g) separately for each  Yes No
g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) belorivate fund uses. If the private fund uses more than one marketer, you must complete questions (b) Is the marketer:  (c) Name of the marketer:  FLEXICAPITAL PRIVATE LIMITED  (d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):  and CRD Number (if any):  (e) Location of the marketer's office used principally by the private fund (city, state and country):  City:  State:  Country:  DELHI  India	yes No
g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) belorivate fund uses. If the private fund uses more than one marketer, you must complete questions (b) narketer.  b) Is the marketer a related person of your firm?  c) Name of the marketer:  FLEXICAPITAL PRIVATE LIMITED  d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):  and CRD Number (if any):  e) Location of the marketer's office used principally by the private fund (city, state and country):  City:  State:  Country:  DELHI  India	ves No
g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) belorivate fund uses. If the private fund uses more than one marketer, you must complete questions (b) Is the marketer:  (c) Name of the marketer:  FLEXICAPITAL PRIVATE LIMITED  (d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):  and CRD Number (if any):  (e) Location of the marketer's office used principally by the private fund (city, state and country):  City:  State:  Country:  DELHI  India	Yes No

		Yes	No
(b)	Is the marketer a <i>related person</i> of your firm?	$\circ$	$\odot$
c)	Name of the marketer: GLORY PRIME WEALTH PVT LTD		
d)	If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):		
	and CRD Number (if any):		
e)	Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country: PUNE India		
		Yes	No
f)	Does the marketer market the <i>private fund</i> through one or more websites?	0	•
g)	If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		
r s <i>riv</i>	must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or othe milar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for keter.	the	
ldi	Keter.		
	7. H	Yes	No
D)	Is the marketer a <i>related person</i> of your firm?	0	•
c)	Name of the marketer: GROWTH CAPITAL ADVISORS		
d)	If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):		
	and CRD Number (if any):		
e)	Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	CHENNAI India		
f)	Does the marketer market the <i>private fund</i> through one or more websites?	Yes O	No
a)	If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		
or s Oriv	must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or othe milar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer ate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for keter.	the	
		Yes	No
b)	Is the marketer a <i>related person</i> of your firm?	0	•
(c)	Name of the marketer:		
C)	Name of the marketer: HARSH ANIL TRIVEDI		

	and CRD Number (if any):				
e)	Location of the marketer's office used principa	pally by the <i>private fu</i>	and (city, state and country):		
		State:	Country:		
	MUMBAI	7000	India		
				Yes	No
f)	Does the marketer market the <i>private fund</i> th	hrough one or more	websites?	o	•
g)	If the answer to question 28.(f) is "yes," list t	the website address(	es):		
		No Inform	nation Filed		
r si <i>riva</i>	must answer "yes" whether the <i>person</i> acts a milar <i>person</i> . If the answer to question 28.(a) ate fund uses. If the <i>private fund</i> uses more the tern.	i) is "yes," respond to	o questions (b) through (g) below for ea	ch such marketer the	
				Yes	No
b)	Is the marketer a <i>related person</i> of your firm	?		0	•
•	Name of the marketer: I VENTURES SECURITIES PRIVATE LTD				
d)	If the marketer is registered with the SEC, its	s file number ( <i>e.g.</i> , 8	801-, 8-, or 866-):		
	- and CRD Number (if any):				
	Location of the marketer's office used principa City: GURGAON	State:	Country: India		
				Yes	NI.
)	Does the marketer market the private fund the	hrough one or more	websites?	C	·
	·	-			_
	Does the marketer market the <i>private fund</i> tr	the website address(			_
g) í	·	the website address(  No Inform  as a placement agent b) is "yes," respond to	es): nation Filed  ;, consultant, finder, introducer, municipa o questions (b) through (g) below for ea	oll advisor or other solicing the such marketer the	• ttor,
g) r si riva nark	If the answer to question 28.(f) is "yes," list to must answer "yes" whether the <i>person</i> acts a milar <i>person</i> . If the answer to question 28.(a) ate fund uses. If the <i>private fund</i> uses more the keter.	the website address(  No Inform  as a placement agent b) is "yes," respond to than one marketer, ye	es): nation Filed  ;, consultant, finder, introducer, municipa o questions (b) through (g) below for ea	al advisor or other solicition such marketer the (g) separately for each	ttor,
g)  r si riva nark	If the answer to question 28.(f) is "yes," list to must answer "yes" whether the <i>person</i> acts a milar <i>person</i> . If the answer to question 28.(a) ate fund uses. If the <i>private fund</i> uses more the keter.  Is the marketer a <i>related person</i> of your firm?	the website address(  No Inform  as a placement agent b) is "yes," respond to than one marketer, ye	es): nation Filed  ;, consultant, finder, introducer, municipa o questions (b) through (g) below for ea	al advisor or other solicit ch such marketer the (g) separately for each	• ttor,
ou r si rivanark	If the answer to question 28.(f) is "yes," list to must answer "yes" whether the <i>person</i> acts a milar <i>person</i> . If the answer to question 28.(a) ate fund uses. If the <i>private fund</i> uses more the keter.	the website address(  No Inform  as a placement agent b) is "yes," respond to than one marketer, ye	es): nation Filed  ;, consultant, finder, introducer, municipa o questions (b) through (g) below for ea	al advisor or other solicition such marketer the (g) separately for each	ttor,
g)  ou   r si rriva nark  b)	If the answer to question 28.(f) is "yes," list to must answer "yes" whether the <i>person</i> acts a milar <i>person</i> . If the answer to question 28.(a) ate fund uses. If the <i>private fund</i> uses more the keter.  Is the marketer a <i>related person</i> of your firm?	the website address(  No Inform  as a placement agent i) is "yes," respond to than one marketer, ye	es): nation Filed  c, consultant, finder, introducer, municipal o questions (b) through (g) below for ea ou must complete questions (b) through	al advisor or other solicition such marketer the (g) separately for each	ttor,
g)  You  For single sin	If the answer to question 28.(f) is "yes," list to must answer "yes" whether the <i>person</i> acts a milar <i>person</i> . If the answer to question 28.(a) ate fund uses. If the <i>private fund</i> uses more to keter.  Is the marketer a <i>related person</i> of your firm.  Name of the marketer: ICICI SECURITIES LTD	the website address(  No Inform  as a placement agent i) is "yes," respond to than one marketer, ye	es): nation Filed  c, consultant, finder, introducer, municipal o questions (b) through (g) below for ea ou must complete questions (b) through	al advisor or other solicition such marketer the (g) separately for each	ttor,

City:	State:	Country:		
MUMBAI		India	¥	
f) Does the marketer market	t the <i>private fund</i> through one or n	more websites?	Yes O	N.
			V	•
If the answer to question	28.(f) is "yes," list the website add No I	dress(es): information Filed		
r similar <i>person</i> . If the answe	er to question 28.(a) is "yes," respo	agent, consultant, finder, introducer, municipal a ond to questions (b) through (g) below for each ter, you must complete questions (b) through (g	such marketer the	
			Yes	N
) Is the marketer a <i>related</i>	person of your firm?		c	0
c) Name of the marketer: IIFL CAPITAL SERVICES L	TD			
l) If the marketer is register	red with the SEC, its file number ( $e$	e.g., 801-, 8-, or 866-):		
and CRD Number (if any):				
	s office used principally by the <i>priva</i>			
City: THANE	State:	Country: India		
			Yes	N
Does the marketer market	t the <i>private fund</i> through one or n	nore websites?	0	6
g) If the answer to question	28.(f) is "yes," list the website add	dress(es):		
	No I	information Filed		
or similar <i>person</i> . If the answe	er to question 28.(a) is "yes," respo	agent, consultant, finder, introducer, municipal a ond to questions (b) through (g) below for each ter, you must complete questions (b) through (g	such marketer the ) separately for each	า
b) Is the marketer a <i>related</i>	person of your firm?		Yes O	N.
c) Name of the marketer: INGENIOUS CAPITAL				
d) If the marketer is register	red with the SEC, its file number (e	e.g., 801-, 8-, or 866-):		
and CRD Number (if any):				
City:	s office used principally by the <i>priva</i> State:	Country:		
VADODARA		India	Yes	N
f) Does the marketer marke	t the <i>private fund</i> through one or n	nore websites?	C	©
a) If the answer to quostion	28.(f) is "yes," list the website add	dress(es):		
9) It the answer to question		iness(es): Information Filed		

or similar <i>person</i> . If the answer to	question 28.(a) is "yes," respond	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each : you must complete questions (b) through (g	such marketer the
(b) Is the marketer a related pers	on of your firm?		Yes No
			0 0
c) Name of the marketer:  JM FINANCIAL SERVICES LTD			
d) If the marketer is registered w	ith the SEC, its file number ( $e.g.$ ,	801-, 8-, or 866-):	
and CRD Number (if any):			
e) Location of the marketer's office	e used principally by the <i>private</i>	fund (city, state and country):	
City:	State:	Country:	
MUMBAI		India	Yes No
f) Does the marketer market the	private fund through one or more	e websites?	○ ●
a) If the answer to question 28 (	) is "ves " list the website address	s(as):	
g) If the answer to question 28.(i		s(es): rmation Filed	
(g) If the answer to question 28.(i	No Info		dvisor or other solicitor,
ou must answer "yes" whether the or similar <i>person</i> . If the answer to	No Info  e person acts as a placement age question 28.(a) is "yes," respond	rmation Filed	such marketer the
ou must answer "yes" whether the or similar <i>person</i> . If the answer to <i>rivate fund</i> uses. If the <i>private fu</i> .	No Info  e person acts as a placement age question 28.(a) is "yes," respond	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each s	such marketer the
ou must answer "yes" whether the similar person. If the answer to private fund uses. If the private fund	No Info e person acts as a placement age question 28.(a) is "yes," respond and uses more than one marketer,	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each s	such marketer the ) separately for each
ou must answer "yes" whether th r similar <i>person</i> . If the answer to rivate fund uses. If the <i>private fu</i> narketer. b) Is the marketer a <i>related pers</i>	No Info e person acts as a placement age question 28.(a) is "yes," respond and uses more than one marketer,	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each s	such marketer the ) separately for each  Yes No
ou must answer "yes" whether th r similar <i>person</i> . If the answer to rivate fund uses. If the <i>private fu</i> narketer. b) Is the marketer a <i>related pers</i>	No Info	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each s	such marketer the ) separately for each  Yes No
fou must answer "yes" whether the similar person. If the answer to private fund uses. If the private fund uses. If the private fund uses if the private fund uses. If the private fund uses if the private fund uses. If the private fund uses if the marketer.  b) Is the marketer a related person in the marketer:  KALPAVRUKSHA FINANCIAL SE	No Info  e person acts as a placement age question 28.(a) is "yes," respond nd uses more than one marketer, on of your firm?	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each s you must complete questions (b) through (g	such marketer the ) separately for each  Yes No
fou must answer "yes" whether the similar person. If the answer to private fund uses. If the private fund uses. If the private fund uses if the private fund uses. If the private fund uses if the private fund uses. If the private fund uses if the marketer.  b) Is the marketer a related person in the marketer:  KALPAVRUKSHA FINANCIAL SE	No Info  e person acts as a placement age question 28.(a) is "yes," respond nd uses more than one marketer, on of your firm?	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each s you must complete questions (b) through (g	such marketer the ) separately for each  Yes No
You must answer "yes" whether the private fund uses. If the private fund uses. If the private fund uses is the private fund uses. If the private fund uses is the private fund uses. If the marketer is registered we have a simple fund uses. If the marketer is registered we have a simple fund uses.	No Info  e person acts as a placement age question 28.(a) is "yes," respond nd uses more than one marketer, on of your firm?  ERVICES  ith the SEC, its file number (e.g.,	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g	such marketer the ) separately for each  Yes No
fou must answer "yes" whether the resimilar person. If the answer to rivate fund uses. If the private fund narketer.  b) Is the marketer a related person Name of the marketer:  KALPAVRUKSHA FINANCIAL SI  d) If the marketer is registered we and CRD Number (if any):	No Info  e person acts as a placement age question 28.(a) is "yes," respond nd uses more than one marketer, on of your firm?  ERVICES  ith the SEC, its file number (e.g.,	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g	such marketer the ) separately for each  Yes No
fou must answer "yes" whether the similar person. If the answer to private fund uses. If the private fund was a related pers.  C) Name of the marketer:  KALPAVRUKSHA FINANCIAL SI  d) If the marketer is registered was and CRD Number (if any):  e) Location of the marketer's officity:  AHMEDABAD	No Info  e person acts as a placement age question 28.(a) is "yes," respond and uses more than one marketer, on of your firm?  ERVICES ith the SEC, its file number (e.g., the used principally by the private State:	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g	such marketer the ) separately for each  Yes No
fou must answer "yes" whether the similar person. If the answer to private fund uses. If the private fund was a related pers.  C) Name of the marketer:  KALPAVRUKSHA FINANCIAL SI  d) If the marketer is registered was and CRD Number (if any):  e) Location of the marketer's officity:  AHMEDABAD	No Info  e person acts as a placement age question 28.(a) is "yes," respond and uses more than one marketer, on of your firm?  ERVICES  ith the SEC, its file number (e.g., the content of the private) is the used principally by the private.	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g	such marketer the ) separately for each  Yes No  C •
fou must answer "yes" whether the similar person. If the answer to private fund uses. If the private fund was a related pers.  C) Name of the marketer:  KALPAVRUKSHA FINANCIAL SI  d) If the marketer is registered was and CRD Number (if any):  e) Location of the marketer's officity:  AHMEDABAD	No Info  e person acts as a placement age question 28.(a) is "yes," respond and uses more than one marketer, on of your firm?  ERVICES  ith the SEC, its file number (e.g., the used principally by the private State:	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g  801-, 8-, or 866-):  fund (city, state and country):  Country: India	yes No

b) Is the marketer a related person of your firm?  c) Name of the marketer: KALPESH ANATRAI PATNI  d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):	Yes C	No ⊙
KALPESH ANATRAI PATNI  d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):  - and CRD Number (if any):  e) Location of the marketer's office used principally by the private fund (city, state and country):  City: State: Country:  MUMBAI India  f) Does the marketer market the private fund through one or more websites?  g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  ou must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other r similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the private fund uses more than one marketer, you must complete questions (b) through (g) separately for	c	
d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):  - and CRD Number (if any):  E) Location of the marketer's office used principally by the private fund (city, state and country):  City:  State:  Country:  MUMBAI  India  F) Does the marketer market the private fund through one or more websites?  G) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  No unust answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other remaining person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the private fund uses more than one marketer, you must complete questions (b) through (g) separately for	c	
and CRD Number (if any):  e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):  City:  State:  Country:  MUMBAI  India  f) Does the marketer market the <i>private fund</i> through one or more websites?  g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	c	
E) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):  City:  State:  Country:  MUMBAI  India  T) Does the marketer market the <i>private fund</i> through one or more websites?  (g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  Ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other resimilar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer thrivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	c	
E) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):  City:  State:  Country:  MUMBAI  India  T) Does the marketer market the <i>private fund</i> through one or more websites?  (g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  Ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other resimilar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer thrivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	c	
City: State: Country: India  Does the marketer market the <i>private fund</i> through one or more websites?  g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	c	
City: State: Country: India  Does the marketer market the <i>private fund</i> through one or more websites?  g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	c	
Does the marketer market the <i>private fund</i> through one or more websites?  If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  No unust answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other resimilar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	c	
No Information Filed  ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	c	
No Information Filed  ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for		
No Information Filed  ou must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	solici	
r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	solici	
r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	solici	
	the	
	· each	1
	Yes	No
b) Is the marketer a <i>related person</i> of your firm?	0	•
c) Name of the marketer: KARTIK GANDHI (HUF)		
d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):		
and CRD Number (if any):		
e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
City: State: Country:		
PUNE India		
Does the marketer market the <i>private fund</i> through one or more websites?	Yes	No ⊙
	~	
g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed		
ou must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other	the	
r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for		
r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for	Yes	No
r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for narketer.  b) Is the marketer a <i>related person</i> of your firm?		No ⊙
r similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer trivate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately for narketer.	Yes	_

d) If the marketer is re	egistered with the SEC	C, its file number ( <i>e</i>	.g., 801-, 8-, 01	000-).			
and CRD Number (i	<sup>-</sup> any):						
e) Location of the mar	keter's office used pri	incipally by the <i>priv</i> e	ate fund (city, sta	ate and country):			
City:	9	State:	C	Country:			
ANAND			I	ndia			
						Yes	No
Does the marketer	market the <i>private ful</i>	<i>nd</i> through one or r	nore websites?			0	•
g) If the answer to que	estion 28.(f) is "yes,"	list the website add	lress(es):				
		No I	nformation Filed				
similar <i>person</i> . If the	answer to question 2	!8.(a) is "yes," respo	and to questions	t, finder, introducer, mu (b) through (g) below f nplete questions (b) thr	or each such market	er the	
						Yes	No
b) Is the marketer a <i>re</i>	elated person of your	firm?				0	•
Name of the market KOTAK SECURITIES							
d) If the marketer is re	egistered with the SEC	C, its file number ( <i>e</i>	.g., 801-, 8-, or	866-):			
- and CRD Number (i	any):						
		incinally by the <i>priv</i> .	ate fund (city, sta	ate and country):			
e) Location of the mar			ate fund (city, sta				
		incipally by the <i>priv</i> o State:	ate fund (city, sta	ate and country): Country: India			
e) Location of the mar			ate fund (city, sta	Country:		Yes	No
E) Location of the mar City: MUMBAI	keter's office used pri	State:		Country:		Yes O	No ⊙
e) Location of the mar City: MUMBAI  Does the marketer	keter's office used pri market the <i>private fu</i>	State: nd through one or r	nore websites?	Country:			_
e) Location of the mar City: MUMBAI  Does the marketer	keter's office used pri market the <i>private fu</i>	State:  nd through one or r  list the website add	nore websites?	Country:			_
e) Location of the mar City: MUMBAI  T) Does the marketer of t	whether the <i>person</i> ananswer to question 2	State:  nd through one or r  list the website add  No I  cts as a placement (8.(a) is "yes," response.	nore websites?  Iress(es):  nformation Filed  agent, consultant and to questions	Country:	or each such market	C ner solici er the	tor,
E) Location of the mar City: MUMBAI  T) Does the marketer  The property of the answer to que  The property of the private fund uses. If the parketer.	market the <i>private fun</i> estion 28.(f) is "yes," whether the <i>person</i> and answer to question 2	State:  nd through one or r  list the website add  No I  cts as a placement at the second sec	nore websites?  Iress(es):  nformation Filed  agent, consultant and to questions	Country: India  t, finder, introducer, mu (b) through (g) below f	or each such market	ner solici er the for each	ttor,
E) Location of the mar City: MUMBAI  Does the marketer	market the <i>private fun</i> estion 28.(f) is "yes," whether the <i>person</i> and answer to question 2	State:  nd through one or r  list the website add  No I  cts as a placement at the second sec	nore websites?  Iress(es):  nformation Filed  agent, consultant and to questions	Country: India  t, finder, introducer, mu (b) through (g) below f	or each such market	oner solici er the for each	tor,
e) Location of the mar City: MUMBAI  T) Does the marketer  g) If the answer to que  ou must answer "yes" or similar person. If the rivate fund uses. If the narketer.	whether the person an answer to question 2 private fund uses more than the person of your ter:	State:  nd through one or r  list the website add  No I  cts as a placement at the second sec	nore websites?  Iress(es):  nformation Filed  agent, consultant and to questions	Country: India  t, finder, introducer, mu (b) through (g) below f	or each such market	ner solici er the for each	ttor,
e) Location of the mar City: MUMBAI  f) Does the marketer of the marketer of the answer to que ou must answer "yes" or similar person. If the private fund uses. If the marketer.  b) Is the marketer a recommendation of the marketer of the	whether the person a answer to question 2 private fund uses more than the person of your ter:	State:  nd through one or r  list the website add  No I  cts as a placement (8.(a) is "yes," response than one marker	nore websites?  Iress(es):  Information Filed  agent, consultant  and to questions  ter, you must con	Country: India  t, finder, introducer, mu (b) through (g) below f mplete questions (b) thr	or each such market	ner solici er the for each	ttor,
e) Location of the mar City: MUMBAI  f) Does the marketer g) If the answer to que four must answer "yes" or similar person. If the private fund uses. If the marketer.  b) Is the marketer a reconstruction.	whether the person as answer to question 2 private fund uses more than the person of your ter:	State:  nd through one or r  list the website add  No I  cts as a placement (8.(a) is "yes," response than one marker	nore websites?  Iress(es):  Information Filed  agent, consultant  and to questions  ter, you must con	Country: India  t, finder, introducer, mu (b) through (g) below f mplete questions (b) thr	or each such market	ner solici er the for each	ttor,
e) Location of the mar City: MUMBAI  f) Does the marketer of the answer to que ou must answer "yes" or similar person. If the rivate fund uses. If the narketer.  b) Is the marketer a re C) Name of the market KUBIX FINSERV LLF	whether the person as answer to question 2 private fund uses more than the person of your ter:	State:  nd through one or r  list the website add  No I  cts as a placement (8.(a) is "yes," response than one marker	nore websites?  Iress(es):  Information Filed  agent, consultant  and to questions  ter, you must con	Country: India  t, finder, introducer, mu (b) through (g) below f mplete questions (b) thr	or each such market	ner solici er the for each	ttor,

PU	ty: State:		ntry:		
	JNE	India	a	Yes	N
) Do	pes the marketer market the <i>private fun</i>	nd through one or more websites?		res O	· ·
) If t	the answer to question 28.(f) is "yes," I	list the website address(es):  No Information Filed	1		
	ust answer "yes" whether the <i>person</i> ac ilar <i>person</i> . If the answer to question 28				ito
	e fund uses. If the private fund uses mo				h
				Yes	N
) Is	the marketer a <i>related person</i> of your f	firm?		0	6
•	ame of the marketer: ACKLAI WEALTH				
) If t -	the marketer is registered with the SEC	c, its file number (e.g., 801-, 8-, or	866-):		
an	d CRD Number (if any):				
-	cation of the marketer's office used prir		**		
Cit ML	ty: UMBAI	State:	Country: India		
) Do	pes the marketer market the <i>private fun</i>	ad through one or more websites?		Yes	
, 00	ses the marketer market the private run	a through one of more websites:		0	(
g) If t	the answer to question 28.(f) is "yes," I	` '	i		
g) Ift	the answer to question 28.(f) is "yes," I	list the website address(es): No Information Filed	1		
ou mu simil	ust answer "yes" whether the <i>person</i> ac ilar <i>person</i> . If the answer to question 28 e fund uses. If the <i>private fund</i> uses mo	No Information Filed  ts as a placement agent, consultan  3.(a) is "yes," respond to questions	it, finder, introducer, municipal (b) through (g) below for each	such marketer the	h
u mu simil ivate arket	ust answer "yes" whether the <i>person</i> ac ilar <i>person</i> . If the answer to question 28 e fund uses. If the <i>private fund</i> uses mo	No Information Filed  tts as a placement agent, consultan  (a) is "yes," respond to questions  (re than one marketer, you must co	it, finder, introducer, municipal (b) through (g) below for each	i such marketer the g) separately for eacl	h N
u mu simil ivate aarket ) Is	ust answer "yes" whether the <i>person</i> ac ilar <i>person</i> . If the answer to question 28 e fund uses. If the <i>private fund</i> uses mo ter.	No Information Filed  tts as a placement agent, consultan  (a) is "yes," respond to questions  (re than one marketer, you must co	it, finder, introducer, municipal (b) through (g) below for each	such marketer the g) separately for each Yes	h N
u mu simil sivate arket ) Is	ust answer "yes" whether the <i>person</i> ac ilar <i>person</i> . If the answer to question 28 e fund uses. If the <i>private fund</i> uses mo ter. the marketer a <i>related person</i> of your f	No Information Filed  tts as a placement agent, consultan  (a) is "yes," respond to questions (re than one marketer, you must co	it, finder, introducer, municipal (b) through (g) below for each mplete questions (b) through (	such marketer the g) separately for each Yes	h
u mu simil ivate arket ) Is ) Na ME	ust answer "yes" whether the <i>person</i> acilar <i>person</i> . If the answer to question 28 of fund uses. If the <i>private fund</i> uses moter.  the marketer a <i>related person</i> of your fame of the marketer:  EENU BHUPESH LAKHANI	No Information Filed  tts as a placement agent, consultan  (a) is "yes," respond to questions (re than one marketer, you must co	it, finder, introducer, municipal (b) through (g) below for each mplete questions (b) through (	such marketer the g) separately for each Yes	h N
ou mu similivate arket  ) Is  ) Na ME  - and  () Loo Cit	ust answer "yes" whether the <i>person</i> actilar <i>person</i> . If the answer to question 28 or fund uses. If the <i>private fund</i> uses moter.  the marketer a <i>related person</i> of your fame of the marketer: EENU BHUPESH LAKHANI  the marketer is registered with the SEC and CRD Number (if any):	No Information Filed ets as a placement agent, consultan (a) is "yes," respond to questions are than one marketer, you must confirm?	at, finder, introducer, municipal (b) through (g) below for each mplete questions (b) through (state and country):  Country:	such marketer the g) separately for each Yes	h N
uu mu similiivate arket  ) Is   ME  - and  () Loo	ust answer "yes" whether the <i>person</i> acilar <i>person</i> . If the answer to question 28 of fund uses. If the <i>private fund</i> uses moter.  The marketer a <i>related person</i> of your fame of the marketer:  EENU BHUPESH LAKHANI  The marketer is registered with the SEC and CRD Number (if any):	No Information Filed ets as a placement agent, consultan (a.(a) is "yes," respond to questions are than one marketer, you must co firm?	at, finder, introducer, municipal (b) through (g) below for each mplete questions (b) through (	such marketer the g) separately for each Yes	h K
ou mu r simil rivate arket  ) Is  () Na ME  - an  Cit AH	ust answer "yes" whether the <i>person</i> actilar <i>person</i> . If the answer to question 28 or fund uses. If the <i>private fund</i> uses moter.  the marketer a <i>related person</i> of your fame of the marketer: EENU BHUPESH LAKHANI  the marketer is registered with the SEC and CRD Number (if any):	No Information Filed ets as a placement agent, consultan (a.(a) is "yes," respond to questions are than one marketer, you must co firm?  To the file number (e.g., 801-, 8-, or expected the control of t	at, finder, introducer, municipal (b) through (g) below for each mplete questions (b) through (state and country):  Country:	such marketer the g) separately for each	h K

You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such mar <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separat marketer.  (b) Is the marketer a <i>related person</i> of your firm?  (c) Name of the marketer:  MONEYKONNECT LLP	marketer the	ch	r,
(c) Name of the marketer:			
	·		_
(d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):			
and CRD Number (if any):			
(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):			
City: State: Country:			
DELHI India	Yes	- N	N.
(f) Does the marketer market the <i>private fund</i> through one or more websites?	C		_
(,	U		⊚
(g) If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed			
You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such mar	marketer the		or,
You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or	marketer the arately for each	ch	
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You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such mar <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separat	marketer the arately for each	ch s N	
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You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such mar <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separat marketer.  (b) Is the marketer a <i>related person</i> of your firm?  (c) Name of the marketer:  MOTILAL OSWAL FINANCIAL SERVICES LTD	marketer the arately for each	ch s N	No
You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such mar <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separat marketer.  (b) Is the marketer a <i>related person</i> of your firm?  (c) Name of the marketer:  MOTILAL OSWAL FINANCIAL SERVICES LTD  (d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):	marketer the arately for each	ch s N	No
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You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such mar <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separat marketer.  (b) Is the marketer a <i>related person</i> of your firm?  (c) Name of the marketer:  MOTILAL OSWAL FINANCIAL SERVICES LTD  (d) If the marketer is registered with the SEC, its file number ( <i>e.g.</i> , 801-, 8-, or 866-):  - and CRD Number (if any):	narketer the arately for each Yes	s N	No ⊙
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You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such mar <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separat marketer.  (b) Is the marketer a <i>related person</i> of your firm?  (c) Name of the marketer:  MOTILAL OSWAL FINANCIAL SERVICES LTD  (d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):  - and CRD Number (if any):  (e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):  City:  State:  Country:  MUMBAI  India	narketer the arately for each Yes	s N	No ⊙
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You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such mar <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separat marketer.  (b) Is the marketer a <i>related person</i> of your firm?  (c) Name of the marketer:  MOTILAL OSWAL FINANCIAL SERVICES LTD  (d) If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):  - and CRD Number (if any):  (e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):  City:  State:  Country:  MUMBAI  India  (f) Does the marketer market the <i>private fund</i> through one or more websites?	rarketer the arately for each  Yes  C  Yes	s N	No ⊙

(b)				Yes	No
	Is the marketer a <i>related person</i> of your firm?			O	$\odot$
c)	Name of the marketer: MOTILAL OSWAL FINSEC IFSC LIMITED				
d)	If the marketer is registered with the SEC, its file numb -	ber ( <i>e.g.</i> , 801-, 8-, o	or 866-):		
	and CRD Number (if any):				
e)	Location of the marketer's office used principally by the	e <i>private fund</i> (city,	state and country):		
	City: GANDHINAGAR	State:	Country: India		
				Yes	No
.)	Does the marketer market the <i>private fund</i> through on	ie or more websites?	•	0	•
3)	If the answer to question 28.(f) is "yes," list the websit				
		No Information File	ed		
r s	must answer "yes" whether the <i>person</i> acts as a placer imilar <i>person</i> . If the answer to question 28.(a) is "yes," ate fund uses. If the <i>private fund</i> uses more than one naketer.	respond to question	ns (b) through (g) below for each	n such marketer the	
				Yes	No
၁)	Is the marketer a <i>related person</i> of your firm?			0	⊙
c)	Name of the marketer: MOTILAL OSWAL WEALTH LIMITED				
d)	If the marketer is registered with the SEC, its file numb	ber ( <i>e.g.</i> , 801-, 8-, o	or 866-):		
	and CRD Number (if any):				
	Location of the marketer's office used principally by the	e <i>private fund</i> (citv.	state and country):		
e)	Location of the marketer's office used principally by the	- 1			
e)	City: State:		Country:		
≘)			Country: India	Yes	No
	City: State:		India	Yes C	No ⊙
f)	City: State: MUMBAI	ne or more websites?	India		_
f)	City: State: MUMBAI  Does the marketer market the <i>private fund</i> through on	ne or more websites?	India		_
f)	City: State: MUMBAI  Does the marketer market the <i>private fund</i> through on	ne or more websites? te address(es):	India		_
f) g) ou	City: State: MUMBAI  Does the marketer market the <i>private fund</i> through on	ne or more websites?  te address(es):  No Information File  ment agent, consulta ' respond to question	India  ed  ed  ant, finder, introducer, municipal ns (b) through (g) below for each	advisor or other solicins such marketer the	tor,
f) g) or:	City: State: MUMBAI  Does the marketer market the <i>private fund</i> through on If the answer to question 28.(f) is "yes," list the websit must answer "yes" whether the <i>person</i> acts as a placer imilar <i>person</i> . If the answer to question 28.(a) is "yes," ate fund uses. If the <i>private fund</i> uses more than one marketer in the private fund uses more than one marketer in	ne or more websites?  te address(es):  No Information File  ment agent, consulta ' respond to question	India  ed  ed  ant, finder, introducer, municipal ns (b) through (g) below for each	advisor or other solicins such marketer the	• ttor,
(ou or s	City: State: MUMBAI  Does the marketer market the <i>private fund</i> through on If the answer to question 28.(f) is "yes," list the websit must answer "yes" whether the <i>person</i> acts as a placer imilar <i>person</i> . If the answer to question 28.(a) is "yes," ate fund uses. If the <i>private fund</i> uses more than one marketer in the private fund uses more than one marketer in	ne or more websites?  te address(es):  No Information File  ment agent, consulta ' respond to question	India  ed  ed  ant, finder, introducer, municipal ns (b) through (g) below for each	advisor or other solicing such marketer the (g) separately for each	• ttor,
f) g) for sorin	City: State: MUMBAI  Does the marketer market the <i>private fund</i> through on If the answer to question 28.(f) is "yes," list the websit must answer "yes" whether the <i>person</i> acts as a placer imilar <i>person</i> . If the answer to question 28.(a) is "yes," <i>ate fund</i> uses. If the <i>private fund</i> uses more than one m	ne or more websites?  te address(es):  No Information File  ment agent, consulta ' respond to question	India  ed  ed  ant, finder, introducer, municipal ns (b) through (g) below for each	advisor or other solicins such marketer the (g) separately for each	ttor,

(u)	If the marketer is registered with the SEC, i	( 3 /			
	and CRD Number (if any):				
<b>e</b> )	Location of the marketer's office used princi	nally by the <i>private fund</i>	(city state and country):		
c)	City: State:	daily by the private rand	Country:		
	PUNE State.		India		
				Yes	No
)	Does the marketer market the <i>private fund</i>	through one or more wel	osites?	c	•
9)	If the answer to question 28.(f) is "yes," list	the website address(es)	:		
		No Informat	ion Filed		
r s riv	must answer "yes" whether the <i>person</i> acts imilar <i>person</i> . If the answer to question 28.( ate fund uses. If the <i>private fund</i> uses more keter.	a) is "yes," respond to q	uestions (b) through (g) below for	each such marketer the	
ı	NCC.			Yes	N
b)	Is the marketer a related person of your firm	1?		0	0
<b>:</b> )	Name of the marketer:				
	NEO WEALTH MANAGEMENT PVT LIMITED				
l)	If the marketer is registered with the SEC, i	s file number (e.g., 801	-, 8-, or 866-):		
	and CRD Number (if any):				
e)	and CRD Number (if any):  Location of the marketer's office used princi	pally by the <i>private fund</i>	(city, state and country):		
≘)	Location of the marketer's office used princi	pally by the <i>private fund</i> State:	(city, state and country):  Country:		
e)	Location of the marketer's office used princi				
	Location of the marketer's office used princi City: MUMBAI	State:	Country: India	Yes	No
	Location of the marketer's office used princi City:	State:	Country: India	Yes O	No ⊙
F)	Location of the marketer's office used princi City: MUMBAI	State: through one or more well the website address(es)	Country: India osites?		_
f)	Location of the marketer's office used princi City: MUMBAI Does the marketer market the <i>private fund</i>	State: chrough one or more wel	Country: India osites?		_
g) ou rs	Location of the marketer's office used princi City: MUMBAI Does the marketer market the <i>private fund</i>	the website address(es)  No Informat  as a placement agent, co	Country: India posites?  con Filed  consultant, finder, introducer, municuestions (b) through (g) below for	cipal advisor or other solicit each such marketer the	ctor,
ou r s riv	Location of the marketer's office used princi City: MUMBAI  Does the marketer market the <i>private fund</i> If the answer to question 28.(f) is "yes," list must answer "yes" whether the <i>person</i> acts imilar <i>person</i> . If the answer to question 28.( ate fund uses. If the <i>private fund</i> uses more keter.	the website address(es) No Informat  as a placement agent, co is "yes," respond to que than one marketer, you	Country: India posites?  con Filed  consultant, finder, introducer, municuestions (b) through (g) below for	cipal advisor or other solicit each such marketer the ugh (g) separately for each	© No
ou r s riv	Location of the marketer's office used princi City: MUMBAI  Does the marketer market the <i>private fund</i> If the answer to question 28.(f) is "yes," list must answer "yes" whether the <i>person</i> acts imilar <i>person</i> . If the answer to question 28.( ate fund uses. If the <i>private fund</i> uses more	the website address(es) No Informat  as a placement agent, co is "yes," respond to que than one marketer, you	Country: India posites?  con Filed  consultant, finder, introducer, municuestions (b) through (g) below for	cipal advisor or other solicit each such marketer the ugh (g) separately for each	otor,
ou r s riv	Location of the marketer's office used princi City: MUMBAI  Does the marketer market the <i>private fund</i> If the answer to question 28.(f) is "yes," list must answer "yes" whether the <i>person</i> acts imilar <i>person</i> . If the answer to question 28.( ate fund uses. If the <i>private fund</i> uses more keter.	the website address(es) No Informat  as a placement agent, co is "yes," respond to que than one marketer, you	Country: India posites?  con Filed  consultant, finder, introducer, municuestions (b) through (g) below for	cipal advisor or other solicit each such marketer the ugh (g) separately for each	© No
ou rs riv nar	Location of the marketer's office used princi City: MUMBAI  Does the marketer market the private fund  If the answer to question 28.(f) is "yes," list must answer "yes" whether the person acts imilar person. If the answer to question 28.( ate fund uses. If the private fund uses more keter.  Is the marketer a related person of your firm Name of the marketer:	chrough one or more well the website address(es)  No Informat  as a placement agent, or a) is "yes," respond to que than one marketer, you	Country: India psites?  cion Filed  consultant, finder, introducer, municuestions (b) through (g) below for must complete questions (b) through (complete questions)	cipal advisor or other solicit each such marketer the ugh (g) separately for each	tor,
f) g) ou ser serious narriv b)	Location of the marketer's office used princi City: MUMBAI  Does the marketer market the <i>private fund</i> If the answer to question 28.(f) is "yes," list must answer "yes" whether the <i>person</i> acts imilar <i>person</i> . If the answer to question 28.( interfund uses. If the <i>private fund</i> uses more keter.  Is the marketer a <i>related person</i> of your firm Name of the marketer: NEO WEALTH PARTNERS PVT LIMITED	chrough one or more well the website address(es)  No Informat  as a placement agent, or a) is "yes," respond to que than one marketer, you	Country: India psites?  cion Filed  consultant, finder, introducer, municuestions (b) through (g) below for must complete questions (b) through (complete questions)	cipal advisor or other solicit each such marketer the ugh (g) separately for each	€ tor,
ou r s riv nar	Location of the marketer's office used princi City: MUMBAI  Does the marketer market the <i>private fund</i> If the answer to question 28.(f) is "yes," list must answer "yes" whether the <i>person</i> acts imilar <i>person</i> . If the answer to question 28.( ate fund uses. If the <i>private fund</i> uses more keter.  Is the marketer a <i>related person</i> of your firm Name of the marketer: NEO WEALTH PARTNERS PVT LIMITED  If the marketer is registered with the SEC, i	chrough one or more well the website address(es)  No Informat  as a placement agent, or a) is "yes," respond to que than one marketer, you	Country: India psites?  cion Filed  consultant, finder, introducer, municuestions (b) through (g) below for must complete questions (b) through (complete questions)	cipal advisor or other solicit each such marketer the ugh (g) separately for each	© No

City:	State:	Country:		
MUMBAI		India	**	<u>.</u> .
f) Does the marketer mark	et the <i>private fund</i> through one or mo	ore websites?	Yes	
,	F		c	•
g) If the answer to question	28.(f) is "yes," list the website addre	ess(es):		
	No Inf	formation Filed		
r similar <i>person</i> . If the answ	ver to question 28.(a) is "yes," respon	gent, consultant, finder, introducer, municipal ad to questions (b) through (g) below for eac ar, you must complete questions (b) through	h such marketer the	
			Yes	N
o) Is the marketer a <i>related</i>	person of your firm?		O	•
c) Name of the marketer: NPS ASSOCIATES PVT LT	D			
If the marketer is registe     -	ered with the SEC, its file number ( $e.g$	7., 801-, 8-, or 866-):		
and CRD Number (if any	):			
-	's office used principally by the <i>privat</i>			
City: PUNE	State:	Country: India		
			Yes	N
f) Does the marketer mark	et the <i>private fund</i> through one or mo	ore websites?	0	•
g) If the answer to question	28.(f) is "yes," list the website addre	ess(es):		
	No Inf	formation Filed		
or similar <i>person</i> . If the answ	ver to question 28.(a) is "yes," respon	gent, consultant, finder, introducer, municipal ad to questions (b) through (g) below for eac ar, you must complete questions (b) through	n such marketer the (g) separately for each	1
b) Is the marketer a <i>related</i>	<i>I person</i> of your firm?		Yes O	O.
c) Name of the marketer: NRP CAPITALS				
d) If the marketer is registe	ered with the SEC, its file number (e.g	n., 801-, 8-, or 866-):		
and CRD Number (if any	):			
e) Location of the marketer City: PUNE	's office used principally by the <i>privat</i>	re fund (city, state and country):  Country:  India		
			Yes	N
f) Does the marketer mark	et the <i>private fund</i> through one or mo	pre websites?	О	•
g) If the answer to question	1 28.(f) is "yes," list the website addre	ess(es):		
•		formation Filed		

•		to questions (b) through (g) below for each you must complete questions (b) through (c)	
b) Is the marketer a <i>re</i> .	lated person of your firm?		Yes No
c) Name of the market NUVAMA WEALTH AN	er: ND INVESTMENT LIMITED		
d) If the marketer is re	gistered with the SEC, its file number (e.g.,	801-, 8-, or 866-):	
and CRD Number (if	any):		
e) Location of the mark City: MUMBAI	eter's office used principally by the <i>private</i> State:	fund (city, state and country):  Country:  India	
			Yes No
Does the marketer n	narket the <i>private fund</i> through one or mor	e websites?	0 @
g) If the answer to que	stion 28.(f) is "yes," list the website addres No Info	s(es): rmation Filed	
ou must answer "yes" w or similar <i>person</i> . If the a	No Info whether the <i>person</i> acts as a placement age answer to question 28.(a) is "yes," respond		such marketer the
ou must answer "yes" w r similar <i>person</i> . If the a rivate fund uses. If the	No Info whether the <i>person</i> acts as a placement age answer to question 28.(a) is "yes," respond	nt, consultant, finder, introducer, municipal to questions (b) through (g) below for each	such marketer the
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ou must answer "yes" we resimilar person. If the activate fund uses. If the narketer.  The properties of the marketer a resident in the marketer of the marketer a resident in the marketer and the marketer and the marketer a resident in the marketer a resident in the marketer and the m	No Info whether the person acts as a placement age answer to question 28.(a) is "yes," respond private fund uses more than one marketer, lated person of your firm?	nt, consultant, finder, introducer, municipal to questions (b) through (g) below for each	such marketer the g) separately for each  Yes No
ou must answer "yes" we resimilar person. If the activate fund uses. If the narketer.  b) Is the marketer a result of the marketer.  c) Name of the marketer PR	No Info whether the person acts as a placement age answer to question 28.(a) is "yes," respond private fund uses more than one marketer, lated person of your firm?	nt, consultant, finder, introducer, municipal to questions (b) through (g) below for each you must complete questions (b) through (	such marketer the g) separately for each  Yes No
ou must answer "yes" we resimilar person. If the arrivate fund uses. If the narketer.  b) Is the marketer a reserve Name of the marketer.	No Info whether the person acts as a placement age answer to question 28.(a) is "yes," respond private fund uses more than one marketer, whated person of your firm?  Der: ENVATE LTD  gistered with the SEC, its file number (e.g.,	nt, consultant, finder, introducer, municipal to questions (b) through (g) below for each you must complete questions (b) through (	such marketer the g) separately for each  Yes No
ou must answer "yes" we re similar person. If the arivate fund uses. If the narketer.  b) Is the marketer a reconstruction of the marketer NYNEX FINSERVE PROMISER AND	No Info whether the person acts as a placement age answer to question 28.(a) is "yes," respond private fund uses more than one marketer, whated person of your firm?  Der: ENVATE LTD  gistered with the SEC, its file number (e.g.,	nt, consultant, finder, introducer, municipal to questions (b) through (g) below for each you must complete questions (b) through (g) thro	such marketer the g) separately for each  Yes No
ou must answer "yes" we re similar person. If the arivate fund uses. If the narketer.  b) Is the marketer a reconstruction of the marketer NYNEX FINSERVE PROMISER AND	No Info whether the person acts as a placement age answer to question 28.(a) is "yes," respond private fund uses more than one marketer, lated person of your firm? er: LIVATE LTD gistered with the SEC, its file number (e.g., any):	nt, consultant, finder, introducer, municipal to questions (b) through (g) below for each you must complete questions (b) through (g) thro	such marketer the g) separately for each  Yes No
ou must answer "yes" wer similar person. If the arivate fund uses. If the narketer.  D) Is the marketer a result in the marketer of the marketer of the marketer is result. If the marketer is result in the marketer is result in the marketer is result. And CRD Number (if the city: MUMBAI	No Info whether the person acts as a placement age answer to question 28.(a) is "yes," respond private fund uses more than one marketer, what is a placement age answer to question 28.(a) is "yes," respond private fund uses more than one marketer, what is a placement age answer to question 28.(a) is "yes," respond private fund uses more than one marketer, what is a placement age answer to question 28.(a) is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," respond private fund uses more than one marketer, what is "yes," resp	nt, consultant, finder, introducer, municipal to questions (b) through (g) below for each you must complete questions (b) through (c) through (d) thro	such marketer the g) separately for each  Yes No
fou must answer "yes" was similar person. If the activate fund uses. If the narketer.  b) Is the marketer a result of the marketer.  c) Name of the marketer is result of the marketer in the marketer is result of the marketer in the marketer is result of the marketer in the marketer i	whether the person acts as a placement age answer to question 28.(a) is "yes," respond private fund uses more than one marketer, lated person of your firm?  er:  EIVATE LTD  gistered with the SEC, its file number (e.g., any):  eter's office used principally by the private State:  market the private fund through one or more stion 28.(f) is "yes," list the website address	nt, consultant, finder, introducer, municipal to questions (b) through (g) below for each you must complete questions (b) through (s) thro	yes No

(b)				Yes	NO
	Is the marketer a <i>related person</i> of your firm?			c	$\odot$
c)	Name of the marketer:				
	OCEAN FINVEST INDIA PVT LTD				
d)	If the marketer is registered with the SEC, its f	file number ( <i>e.g.</i> , 801-	8-, or 866-):		
	- and CDD Number (if any)				
	and CRD Number (if any):				
e)	Location of the marketer's office used principal	lly by the <i>private fund</i> (	city, state and country):		
,	City:	State:	Country:		
	AHMEDABAD		India	Yes	No
:)	Does the marketer market the <i>private fund</i> thr	rough one or more web	sites?	C	<b>⊙</b>
ı)	If the answer to question 28.(f) is "yes," list th	ne website address(es):			
1)	The diswer to question 20.(1) is yes, list th	No Information	n Filed		
-					
	must answer "yes" whether the <i>person</i> acts as imilar <i>person</i> . If the answer to question 28.(a)	is "yes," respond to qu	estions (b) through (g) below for each	such marketer the	
	ate fund uses. If the <i>private fund</i> uses more the keter.	an one marketer, you n	iust complete questions (b) through (	g) separately for each	ı
				Yes	No
)	Is the marketer a <i>related person</i> of your firm?			0	⊙
:)	Name of the marketer: ONESTOP NRI ADVISORY PRIVATE LTD				
d)	If the marketer is registered with the SEC, its f	file number ( <i>e.g.</i> , 801-,	8-, or 866-):		
d)	If the marketer is registered with the SEC, its f - and CRD Number (if any):	file number (e.g., 801-,	8-, or 866-):		
d)	-	file number (e.g., 801-,	8-, or 866-):		
	-				
	and CRD Number (if any):  Location of the marketer's office used principal City:		city, state and country): Country:		
	and CRD Number (if any):  Location of the marketer's office used principal	lly by the <i>private fund</i> (	city, state and country):	Yes	No
e)	and CRD Number (if any):  Location of the marketer's office used principal City:	lly by the <i>private fund</i> ( ate:	city, state and country): Country: India	Yes C	No ⊙
e)	and CRD Number (if any):  Location of the marketer's office used principal City: Sta MUMBAI  Does the marketer market the <i>private fund</i> thr	lly by the <i>private fund</i> ( ate: rough one or more web	city, state and country): Country: India		_
e)	and CRD Number (if any):  Location of the marketer's office used principal City: Sta	lly by the <i>private fund</i> ( ate: rough one or more web	city, state and country): Country: India sites?		_
e)	and CRD Number (if any):  Location of the marketer's office used principal City: Sta MUMBAI  Does the marketer market the <i>private fund</i> thr	lly by the <i>private fund</i> (ate: rough one or more web	city, state and country): Country: India sites?		_
e)	and CRD Number (if any):  Location of the marketer's office used principal City: Sta MUMBAI  Does the marketer market the <i>private fund</i> thr  If the answer to question 28.(f) is "yes," list the	lly by the <i>private fund</i> (ate: rough one or more web ne website address(es): No Informatio	city, state and country):  Country: India sites?	c	•
ee)	and CRD Number (if any):  Location of the marketer's office used principal City: Sta MUMBAI  Does the marketer market the <i>private fund</i> thr	lly by the <i>private fund</i> (ate:  rough one or more web ne website address(es):  No Information a placement agent, co is "yes," respond to qu	city, state and country):  Country: India sites?  n Filed  nsultant, finder, introducer, municipal estions (b) through (g) below for each	advisor or other solici	tor,
e) f) g)	and CRD Number (if any):  Location of the marketer's office used principal City:  MUMBAI  Does the marketer market the <i>private fund</i> thr  If the answer to question 28.(f) is "yes," list the must answer "yes" whether the <i>person</i> acts as imilar <i>person</i> . If the answer to question 28.(a) ate fund uses. If the <i>private fund</i> uses more that	lly by the <i>private fund</i> (ate:  rough one or more web ne website address(es):  No Information a placement agent, co is "yes," respond to qu	city, state and country):  Country: India sites?  n Filed  nsultant, finder, introducer, municipal estions (b) through (g) below for each	advisor or other solici	€ ttor,
e) f) g) for sorin	and CRD Number (if any):  Location of the marketer's office used principal City:  MUMBAI  Does the marketer market the <i>private fund</i> thr  If the answer to question 28.(f) is "yes," list the must answer "yes" whether the <i>person</i> acts as imilar <i>person</i> . If the answer to question 28.(a) ate fund uses. If the <i>private fund</i> uses more that	lly by the <i>private fund</i> (ate:  rough one or more web ne website address(es):  No Information a placement agent, co is "yes," respond to qu	city, state and country):  Country: India sites?  n Filed  nsultant, finder, introducer, municipal estions (b) through (g) below for each	advisor or other solici such marketer the g) separately for each	€ ttor,
e)  f)  g)  vooi	and CRD Number (if any):  Location of the marketer's office used principal City: Sta MUMBAI  Does the marketer market the <i>private fund</i> thrust the answer to question 28.(f) is "yes," list the must answer "yes" whether the <i>person</i> acts as imilar <i>person</i> . If the answer to question 28.(a) that fund uses. If the <i>private fund</i> uses more that keter.	lly by the <i>private fund</i> (ate:  rough one or more web ne website address(es):  No Information a placement agent, co is "yes," respond to qu	city, state and country):  Country: India sites?  n Filed  nsultant, finder, introducer, municipal estions (b) through (g) below for each	advisor or other solici such marketer the g) separately for each	ttor,

(d)	If the marketer is registered with the S						
	and CRD Number (if any):						
e)	Location of the marketer's office used	principally by the <i>priva</i>	ate fund (city, state	e and country):			
	City:	State:	C	Country:			
	MUMBAI		I	ndia			
						Yes	No
)	Does the marketer market the <i>private</i>	fund through one or m	nore websites?			0	⊚
9)	If the answer to question 28.(f) is "yes	s," list the website add	ress(es):				
		No Ir	nformation Filed				
s iv	must answer "yes" whether the <i>person</i> imilar <i>person</i> . If the answer to question ate fund uses. If the <i>private fund</i> uses keter.	n 28.(a) is "yes," respo	and to questions (b	) through (g) below for	each such markete	r the	
						Yes	No
၁)	Is the marketer a <i>related person</i> of you	ur firm?				0	•
:)	Name of the marketer: PRANALI NILESH MINCHEKAR						
d)	If the marketer is registered with the S	SEC, its file number ( <i>e.</i>	.g., 801-, 8-, or 86	56-):			
۵۱	and CRD Number (if any):	principally by the priva	ate fund (city, state	and country)			
e)	and CRD Number (if any):  Location of the marketer's office used City: MUMBAI	principally by the <i>priva</i> State:	C	e and country): Country: ndia			
	Location of the marketer's office used City:	State:	C	Country:		Yes O	No ©
7)	Location of the marketer's office used City: MUMBAI  Does the marketer market the <i>private</i>	State:  fund through one or m	C I nore websites?	Country:			
=)	Location of the marketer's office used City: MUMBAI	State:  fund through one or m s," list the website add	C I nore websites?	Country:			
ou rs	Location of the marketer's office used City: MUMBAI  Does the marketer market the <i>private</i> If the answer to question 28.(f) is "yes must answer "yes" whether the <i>person</i> imilar <i>person</i> . If the answer to question ate fund uses. If the <i>private</i> fund uses	State:  fund through one or m  s," list the website add  No In  a acts as a placement an 28.(a) is "yes," respo	ress(es): nformation Filed agent, consultant, to	Country: ndia finder, introducer, muni	each such markete	C er solic	© tor,
ou r s	Location of the marketer's office used City: MUMBAI  Does the marketer market the <i>private</i> If the answer to question 28.(f) is "yes must answer "yes" whether the <i>person</i> imilar <i>person</i> . If the answer to question	State:  fund through one or m  s," list the website add  No In  a acts as a placement an 28.(a) is "yes," respo	ress(es): nformation Filed agent, consultant, to	Country: ndia finder, introducer, muni	each such markete	C er solic	€ itor,
ou r s riv	Location of the marketer's office used City: MUMBAI  Does the marketer market the <i>private</i> If the answer to question 28.(f) is "yes must answer "yes" whether the <i>person</i> imilar <i>person</i> . If the answer to question ate fund uses. If the <i>private</i> fund uses	State:  fund through one or m s," list the website add No In a acts as a placement a n 28.(a) is "yes," respo	ress(es): nformation Filed agent, consultant, to	Country: ndia finder, introducer, muni	each such markete	C er solic er the for each	€ itor,
ou r s riv nar	Location of the marketer's office used City: MUMBAI  Does the marketer market the <i>private</i> If the answer to question 28.(f) is "yes must answer "yes" whether the <i>person</i> imilar <i>person</i> . If the answer to question ate fund uses. If the <i>private</i> fund uses keter.	State:  fund through one or m s," list the website add No In a acts as a placement a a 28.(a) is "yes," respo more than one market ur firm?	ress(es): nformation Filed agent, consultant, to	Country: ndia finder, introducer, muni	each such markete	er solicer the for each	© No
ou rs riv nar	Location of the marketer's office used City: MUMBAI  Does the marketer market the <i>private</i> If the answer to question 28.(f) is "yes must answer "yes" whether the <i>person</i> imilar <i>person</i> . If the answer to question ate fund uses. If the <i>private</i> fund uses keter.  Is the marketer a <i>related person</i> of you name of the marketer:	State:  fund through one or m s," list the website add No In a acts as a placement a n 28.(a) is "yes," respo more than one market ur firm?	ress(es): nformation Filed agent, consultant, to the questions (bits) agent, you must comp	finder, introducer, muni ) through (g) below for olete questions (b) thro	each such markete	er solicer the for each	© No
f) g) ou or sorriv narr b)	Location of the marketer's office used City: MUMBAI  Does the marketer market the <i>private</i> If the answer to question 28.(f) is "yes must answer "yes" whether the <i>person</i> imilar <i>person</i> . If the answer to question ate fund uses. If the <i>private fund</i> uses keter.  Is the marketer a <i>related person</i> of you name of the marketer: PROAFFLUENC ASSOCIATES PRIVATE L	State:  fund through one or m s," list the website add No In a acts as a placement a n 28.(a) is "yes," respo more than one market ur firm?	ress(es): nformation Filed agent, consultant, to the questions (bits) agent, you must comp	finder, introducer, muni ) through (g) below for olete questions (b) thro	each such markete	er solicer the for each	© No
f) g) ou r s rriv narr b)	Location of the marketer's office used City: MUMBAI  Does the marketer market the <i>private</i> If the answer to question 28.(f) is "yes must answer "yes" whether the <i>person</i> imilar <i>person</i> . If the answer to question ate fund uses. If the <i>private</i> fund uses keter.  Is the marketer a <i>related person</i> of you name of the marketer: PROAFFLUENC ASSOCIATES PRIVATE If the marketer is registered with the Signal of the second content of the second content of the marketer.	State:  fund through one or mos," list the website add No In a acts as a placement at 28.(a) is "yes," respondent than one market our firm?  TD  SEC, its file number (e.	ress(es): information Filed  agent, consultant, it and to questions (b iter, you must comp	finder, introducer, municolor for through (g) below for ollete questions (b) thro	each such markete	er solicer the for each	© No

City:	State:	Country:		
NAVI MUMBAI		India	Yes	NI.
) Does the marketer market the <i>private</i> i	fund through one or more websites?		res O	o NC
If the answer to question 28.(f) is "yes	," list the website address(es):			
	No Information File	ed		
ou must answer "yes" whether the <i>person</i> similar <i>person</i> . If the answer to question ivate fund uses. If the <i>private fund</i> uses rarketer.	28.(a) is "yes," respond to question	ns (b) through (g) below for each s	uch marketer the	
			Yes	No
) Is the marketer a <i>related person</i> of you	ır firm?		0	•
) Name of the marketer: PRUDENT CORPORATE ADVISORY SERV	/ICES LTD			
) If the marketer is registered with the S -	EC, its file number ( <i>e.g.</i> , 801-, 8-, c	or 866-):		
and CRD Number (if any):				
Location of the marketer's office used p		• •		
City: AHMEDABAD	State:	Country: India		
			Yes	N
Does the marketer market the <i>private i</i>	rund through one or more websites?		0	⊚
) If the answer to question 28.(f) is "yes	," list the website address(es):			
	No Information File	ed		
You must answer "yes" whether the <i>person</i> or similar <i>person</i> . If the answer to question invivate fund uses. If the <i>private fund</i> uses remarketer.  b) Is the marketer a <i>related person</i> of you	28.(a) is "yes," respond to question nore than one marketer, you must o	ns (b) through (g) below for each s	uch marketer the	1
ROMIL PATTNI  d) If the marketer is registered with the S	EC, its file number ( <i>e.g.</i> , 801-, 8-, c	or 866-):		
- and CRD Number (if any):				
•	State:	Country:		
SURAT		India	Yes	No
) Does the marketer market the <i>private t</i>	fund through one or more websites?		o	•
g) If the answer to question 28.(f) is "yes	," list the website address(es):			
, , , , , , , , , , , , , , , , , , , ,	No Information File	ed		

or similar <i>person</i> . If the answer to quest private fund uses. If the <i>private fund</i> u marketer.			g) separately for each
b) Is the marketer a related person o	f your firm?		Yes No
c) Name of the marketer: SAFEBRIDGE INVESTMENT SERVIO	CE PVT LTD		
d) If the marketer is registered with t	he SEC, its file number (e.g., 801-, 8	-, or 866-):	
and CRD Number (if any):			
e) Location of the marketer's office us	sed principally by the <i>private fund</i> (ci State:	Country:	
ERNAKULAM		India	Yes No
f) Does the marketer market the <i>priv</i>	rate fund through one or more websit	es?	0 0
g) If the answer to question 28.(f) is		Filed	
ou must answer "yes" whether the <i>pe</i> or similar <i>person</i> . If the answer to que	No Information  rson acts as a placement agent, constitution 28.(a) is "yes," respond to ques	ultant, finder, introducer, municipal a tions (b) through (g) below for each	such marketer the
ou must answer "yes" whether the <i>pe</i>	No Information  rson acts as a placement agent, constitution 28.(a) is "yes," respond to quested to marketer, you muter.	ultant, finder, introducer, municipal a tions (b) through (g) below for each	such marketer the
ou must answer "yes" whether the <i>pe</i> r similar <i>person</i> . If the answer to questivate fund uses. If the <i>private fund</i> unarketer.  b) Is the marketer a <i>related person</i> o	No Information  rson acts as a placement agent, constitution 28.(a) is "yes," respond to quested to marketer, you muter.	ultant, finder, introducer, municipal a tions (b) through (g) below for each	such marketer the  y) separately for each  Yes No
ou must answer "yes" whether the <i>pe</i> r similar <i>person</i> . If the answer to questivate fund uses. If the <i>private fund</i> unarketer.  b) Is the marketer a <i>related person</i> of the marketer:	No Information rson acts as a placement agent, consistion 28.(a) is "yes," respond to quesses more than one marketer, you must fyour firm?	ultant, finder, introducer, municipal a tions (b) through (g) below for each st complete questions (b) through (g	such marketer the  y) separately for each  Yes No
ou must answer "yes" whether the <i>pe</i> r similar <i>person</i> . If the answer to querivate fund uses. If the <i>private fund</i> userwheter.  b) Is the marketer a <i>related person</i> of Name of the marketer:  SB NRI TECHNOLOGIES PVT LTD	No Information rson acts as a placement agent, consistion 28.(a) is "yes," respond to quesses more than one marketer, you must fyour firm?	ultant, finder, introducer, municipal a tions (b) through (g) below for each st complete questions (b) through (g	such marketer the  y) separately for each  Yes No
ou must answer "yes" whether the per similar person. If the answer to querivate fund uses. If the private fund user the person of the marketer a related person of the marketer:  SB NRI TECHNOLOGIES PVT LTD  d) If the marketer is registered with the and CRD Number (if any):  e) Location of the marketer's office uses.	No Information rson acts as a placement agent, consistion 28.(a) is "yes," respond to quesses more than one marketer, you must f your firm?  the SEC, its file number (e.g., 801-, 8	ultant, finder, introducer, municipal ations (b) through (g) below for each st complete questions (b) through (g) through (g) -, or 866-):	such marketer the  y) separately for each  Yes No
ou must answer "yes" whether the per similar person. If the answer to querivate fund uses. If the private fund unarketer.  b) Is the marketer a related person of the marketer:  SB NRI TECHNOLOGIES PVT LTD  d) If the marketer is registered with the and CRD Number (if any):	No Information rson acts as a placement agent, constitution 28.(a) is "yes," respond to question 28. (a) is "yes," respond to question 28. (a) is "yes," respond to question 28. (a) is "yes," respond to question 39. (b) is "yes," respond to question 29. (a) is "yes," respond to question 29. (b) is "yes," respond to question 39. (c) is more than one marketer, you must favor firm?	ultant, finder, introducer, municipal a tions (b) through (g) below for each st complete questions (b) through (g	such marketer the plant of the
fou must answer "yes" whether the per similar person. If the answer to questrivate fund uses. If the private fund uses are lated person of the marketer:  SB NRI TECHNOLOGIES PVT LTD  d) If the marketer is registered with the and CRD Number (if any):  e) Location of the marketer's office use City: DELHI	No Information rson acts as a placement agent, consistion 28.(a) is "yes," respond to quesses more than one marketer, you must f your firm?  the SEC, its file number (e.g., 801-, 8	ultant, finder, introducer, municipal ations (b) through (g) below for each st complete questions (b) through (g) -, or 866-):  Ey, state and country):  Country: India	such marketer the  y) separately for each  Yes No
ou must answer "yes" whether the per similar person. If the answer to querivate fund uses. If the private fund user the person of the marketer a related person of the marketer:  SB NRI TECHNOLOGIES PVT LTD  d) If the marketer is registered with the and CRD Number (if any):  e) Location of the marketer's office use City: DELHI	No Information  rson acts as a placement agent, consistion 28.(a) is "yes," respond to quesses more than one marketer, you must flyour firm?  the SEC, its file number (e.g., 801-, 8) sed principally by the private fund (cincipally by the private fund (cincipally by the private fund).	ultant, finder, introducer, municipal ations (b) through (g) below for each st complete questions (b) through (g) -, or 866-):  Ey, state and country):  Country: India	yes No

(b)		Yes	No
	Is the marketer a <i>related person</i> of your firm?	0	$\odot$
c)	Name of the marketer:		
•	SIFT GROWTH CAPITAL LLP		
d)	If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):		
,	-		
	and CRD Number (if any):		
e)	Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):  City: State: Country:		
	DELHI India		
		Yes	No
)	Does the marketer market the <i>private fund</i> through one or more websites?	0	⊚
3)	If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		
	ı must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or oth		tor,
٦į١	similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such markete vate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately trivial references.		1
		Yes	No
)	Is the marketer a <i>related person</i> of your firm?	0	•
:)	Name of the marketer: SIXTH ELEMENT CAPITAL		
d)	If the marketer is registered with the SEC, its file number (e.g., 801-, 8-, or 866-):		
	and CRD Number (if any):		
۰,	Location of the marketer's office used principally by the private fund (city state and country).		
e)	Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):  City: State: Country:		
	NAVI MUMBAI India		
	The state of the s		
		Yes	No
f)	Does the marketer market the <i>private fund</i> through one or more websites?	Yes	No ⊙
	Does the marketer market the <i>private fund</i> through one or more websites?  If the answer to question 28.(f) is "yes," list the website address(es):	_	-
•	Does the marketer market the <i>private fund</i> through one or more websites?	_	-
•	Does the marketer market the <i>private fund</i> through one or more websites?  If the answer to question 28.(f) is "yes," list the website address(es):	_	-
g) ou rs	Does the marketer market the <i>private fund</i> through one or more websites?  If the answer to question 28.(f) is "yes," list the website address(es):	C er solic	tor,
g) 'ou or s	Does the marketer market the <i>private fund</i> through one or more websites?  If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  In must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other in the similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such markete vate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately in the private fund uses more than one marketer, you must complete questions (b) through (g) separately in the private fund uses.	C er solic	€ (ittor,
g) ′ou or s orio	Does the marketer market the <i>private fund</i> through one or more websites?  If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  In must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other in the similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such markete vate fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately in the private fund uses more than one marketer, you must complete questions (b) through (g) separately in the private fund uses.	C er solic er the for eacl	€ (ittor,
g)  'ou or s oriona	Does the marketer market the <i>private fund</i> through one or more websites?  If the answer to question 28.(f) is "yes," list the website address(es):  No Information Filed  In must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or otherwise the person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such markete that fund uses. If the <i>private fund</i> uses more than one marketer, you must complete questions (b) through (g) separately the reference to the private fund uses more than one marketer, you must complete questions (b) through (g) separately the reference to the private fund uses more than one marketer.	er solicer the for each	No

(d)	If the marketer is registered with the SEC, its file number (e.g., 801-, 8-,	or 866-):		
	and CRD Number (if any):			
e)	Location of the marketer's office used principally by the <i>private fund</i> (city	, state and country):		
	City: State:	Country:		
	CHENNAI	India		
			Yes	No
f)	Does the marketer market the <i>private fund</i> through one or more websites	5?	0	•
g)	If the answer to question 28.(f) is "yes," list the website address(es):			
	No Information F	iled		
r si <i>riv</i> a	u must answer "yes" whether the <i>person</i> acts as a placement agent, consul similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to question wate fund uses. If the <i>private fund</i> uses more than one marketer, you must rketer.	ons (b) through (g) below for each such i	marketer the	
			Yes	No
b)	Is the marketer a <i>related person</i> of your firm?		0	•
•	Name of the marketer: SUNIL KUMAR GUPTA			
d)	If the marketer is registered with the SEC, its file number (e.g., 801-, 8-,	or 866-):		
	and CRD Number (if any):			
e)	Location of the marketer's office used principally by the <i>private fund</i> (city	state and country):		
•	City: State:	Country:		
	BANGALURU	India		
			Yes	No
F)	Does the marketer market the <i>private fund</i> through one or more websites	5?	0	•
g)	If the answer to question 28.(f) is "yes," list the website address(es):			
	No Information F	iled		
r si <i>riva</i>	I must answer "yes" whether the <i>person</i> acts as a placement agent, consul similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to question 24. (a) is "yes," respond to question vate fund uses. If the <i>private fund</i> uses more than one marketer, you must rketer.	ons (b) through (g) below for each such i	marketer the	
h.\	To the manufactor a valenced re		Yes	No
(ر	Is the marketer a related person of your firm?		0	⊙
•	Name of the marketer: SUNKERSETT INVESTMENT INTERMEDIARIES LLP			
d)	If the marketer is registered with the SEC, its file number (e.g., 801-, 8-,	or 866-):		
	and CRD Number (if any):			
e١	Location of the marketer's office used principally by the <i>private fund</i> (city	state and country)		
۷)	Location of the marketer 5 office about principally by the private fulfu (city	, state and country).		

City:	State:	Country:		
MUMBAI		India		-
Does the marketer marks	t the private fund through one or mo-	a waheitae?	Yes	
Does the marketer marke	t the <i>private fund</i> through one or more	e wedsites?	O	⊙
) If the answer to question	28.(f) is "yes," list the website addres	s(es):		
	No Info	rmation Filed		
similar <i>person</i> . If the answe	er to question 28.(a) is "yes," respond	nt, consultant, finder, introducer, municipal to questions (b) through (g) below for each you must complete questions (b) through (	such marketer the	
			Yes	No
) Is the marketer a <i>related</i>	person of your firm?		c	⊚
Name of the marketer: TATA CAPITAL LIMITED				
) If the marketer is register	red with the SEC, its file number (e.g.,	801-, 8-, or 866-):		
and CRD Number (if any)				
-	s office used principally by the <i>private</i>			
City: MUMBAI	State:	Country: India		
			Yes	No
) Does the marketer marke	t the <i>private fund</i> through one or more	e websites?	0	•
If the answer to question	28.(f) is "yes," list the website addres	s(es):		
) If the answer to question		rmation Filed		
r similar <i>person</i> . If the answe	er to question 28.(a) is "yes," respond	nt, consultant, finder, introducer, municipal to questions (b) through (g) below for each you must complete questions (b) through (	such marketer the	
			Yes	No
) Is the marketer a <i>related</i>	person of your firm?		0	•
) Name of the marketer: VALUEARK CAPITAL PRIVA	ATE LTD			
) If the marketer is register	red with the SEC, its file number (e.g.,	801-, 8-, or 866-):		
and CRD Number (if any)				
) Location of the marketer's City:	s office used principally by the <i>private</i> State:	fund (city, state and country):  Country:		
MUMBAI		India		
Door the markets	t the private find through	a wahaitaa?	Yes	
Dues the marketer marke	t the <i>private fund</i> through one or mor	ב איבטאונפא:	0	⊙
) If the answer to question	28.(f) is "yes," list the website addres	s(es):		
,		rmation Filed		

<i>orivate fund</i> uses. If the <i>private f</i> marketer.	und uses more than one marketer,	to questions (b) through (g) below for each you must complete questions (b) through (g	) separately for each
(b) Is the marketer a related per	rson of your firm?		Yes No
(c) Name of the marketer: WEALTH INDIA FINANCIAL S	ERVICES PRIVATE LTD		
d) If the marketer is registered	with the SEC, its file number (e.g.,	801-, 8-, or 866-):	
and CRD Number (if any):			
e) Location of the marketer's of City: CHENNAI	fice used principally by the <i>private</i> and State:	fund (city, state and country):  Country:  India	
CHENNAL		maid	Yes No
f) Does the marketer market th	ne <i>private fund</i> through one or more	e websites?	0 0
(g) If the answer to question 28.	(f) is "yes," list the website address	s(es): rmation Filed	
ou must answer "yes" whether to or similar <i>person</i> . If the answer to private fund uses. If the <i>private f</i>	No Infor the <i>person</i> acts as a placement ager o question 28.(a) is "yes," respond		such marketer the
ou must answer "yes" whether t or similar <i>person</i> . If the answer t	No Infor the <i>person</i> acts as a placement ager o question 28.(a) is "yes," respond	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each	such marketer the
ou must answer "yes" whether t or similar <i>person</i> . If the answer to <i>rivate fund</i> uses. If the <i>private f</i>	No Infor the <i>person</i> acts as a placement ager o question 28.(a) is "yes," respond	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each	such marketer the
fou must answer "yes" whether to or similar <i>person</i> . If the answer to private fund uses. If the <i>private f</i> narketer.	No Infor the <i>person</i> acts as a placement ager o question 28.(a) is "yes," respond fund uses more than one marketer,	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each	such marketer the ) separately for each
You must answer "yes" whether to the similar <i>person</i> . If the answer to the similar for the si	No Information in the person acts as a placement ager or question 28.(a) is "yes," responditund uses more than one marketer, are of your firm?	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each	such marketer the ) separately for each  Yes No
fou must answer "yes" whether to or similar person. If the answer to private fund uses. If the private f marketer.  b) Is the marketer a related per c) Name of the marketer: WEALTH MANAGERS UNITED	No Information in the person acts as a placement ager or question 28.(a) is "yes," responditund uses more than one marketer, are of your firm?	mation Filed  nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g	such marketer the ) separately for each  Yes No
fou must answer "yes" whether to be similar person. If the answer to private fund uses. If the private for marketer.  b) Is the marketer a related per c) Name of the marketer: WEALTH MANAGERS UNITED	No Informathe person acts as a placement ager of question 28.(a) is "yes," respond fund uses more than one marketer, arson of your firm?	mation Filed  nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g	such marketer the ) separately for each  Yes No
You must answer "yes" whether to similar person. If the answer to similar person. If the private for the priva	No Informathe person acts as a placement ager of question 28.(a) is "yes," respond fund uses more than one marketer, arson of your firm?	nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g	such marketer the ) separately for each  Yes No
ou must answer "yes" whether to resimilar person. If the answer to rivate fund uses. If the private for the private for the private for the marketer.  D) Is the marketer a related performance of the marketer:  WEALTH MANAGERS UNITED  d) If the marketer is registered and CRD Number (if any):  e) Location of the marketer's of City:	No Informathe person acts as a placement ager of question 28.(a) is "yes," responditund uses more than one marketer, aron of your firm?  INDIA PVT LTD  with the SEC, its file number (e.g.,	mation Filed  nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g  801-, 8-, or 866-):  fund (city, state and country):  Country:	such marketer the ) separately for each  Yes No
ou must answer "yes" whether to resimilar person. If the answer to rivate fund uses. If the private for the private for the private for the marketer.  b) Is the marketer a related performance of the marketer:  WEALTH MANAGERS UNITED  d) If the marketer is registered and CRD Number (if any):  e) Location of the marketer's of	The person acts as a placement ager of question 28.(a) is "yes," respond fund uses more than one marketer, arson of your firm?  INDIA PVT LTD  with the SEC, its file number (e.g.,	mation Filed  nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g	such marketer the ) separately for each  Yes No
fou must answer "yes" whether to resimilar person. If the answer to rivate fund uses. If the private for the private for the private for the private for the marketer.  b) Is the marketer a related performance of the marketer:  WEALTH MANAGERS UNITED  d) If the marketer is registered for and CRD Number (if any):  e) Location of the marketer's of City:  BANGALURU	The person acts as a placement ager of question 28.(a) is "yes," respond fund uses more than one marketer, arson of your firm?  INDIA PVT LTD  with the SEC, its file number (e.g.,	mation Filed  nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g  801-, 8-, or 866-):  fund (city, state and country):  Country: India	such marketer the ) separately for each  Yes No
fou must answer "yes" whether to similar person. If the answer to private fund uses. If the private for narketer.  b) Is the marketer a related person.  c) Name of the marketer:  WEALTH MANAGERS UNITED  d) If the marketer is registered and CRD Number (if any):  e) Location of the marketer's of City:  BANGALURU  f) Does the marketer market the	No Information in the person acts as a placement ager of question 28.(a) is "yes," responditund uses more than one marketer, arson of your firm?  INDIA PVT LTD  with the SEC, its file number (e.g., fice used principally by the private in State:	mation Filed  nt, consultant, finder, introducer, municipal a to questions (b) through (g) below for each you must complete questions (b) through (g  801-, 8-, or 866-):  fund (city, state and country):  Country: India	Yes No.

(b)				Yes	No
	Is the marketer a <i>related person</i> of your f	firm?		С	$\odot$
c)	Name of the marketer:				
•	WHITE ROSE INVESTMENTS				
d)	If the marketer is registered with the SEC	C, its file number ( <i>e.g.</i> , 801	1-, 8-, or 866-):		
	-				
	and CRD Number (if any):				
e)	Location of the marketer's office used prin	incipally by the <i>private fund</i>	d (city, state and country):		
-,	City:	State:	Country:		
	MUMBAI		India		
=\	Does the marketer market the private fund	nd through and or more we	sheitas?	Yes	
)	Does the marketer market the <i>private fur</i>	na through one of more we	:DSILES!	c	⊙
J)	If the answer to question 28.(f) is "yes,"	list the website address(es			
		NO INIOINIA	.ioii i lieu		
- 5	must answer "yes" whether the <i>person</i> ac imilar <i>person</i> . If the answer to question 28	28.(a) is "yes," respond to c	questions (b) through (g) below for	each such marketer the	
	<i>ate fund</i> uses. If the <i>private fund</i> uses mo keter.	ore than one marketer, you	must complete questions (b) throu	gh (g) separately for each	1
				Yes	No
))	Is the marketer a related person of your f	firm?		o	$\odot$
:)	Name of the marketer: WISE TURTLE FINSERV LLP				
d)	If the marketer is registered with the SEC	C, its file number ( <i>e.g.</i> , 801	L-, 8-, or 866-):		
	and CRD Number (if any):				
e)	Location of the marketer's office used prin	ncipally by the private fund	f (city, state and country):		
e)	City: State:	incipally by the <i>private fund</i>	Country:		
e)	·	incipally by the <i>private fund</i>			
	City: State:		Country: India	Yes O	No •
f)	City: State: PUNE  Does the marketer market the <i>private fur</i>	<i>nd</i> through one or more we	Country: India ebsites?	Yes O	
f)	City: State: PUNE	<i>nd</i> through one or more we	Country: India  absites?		
:)	City: State: PUNE  Does the marketer market the <i>private fur</i>	nd through one or more we	Country: India  absites?		
f) g) fou rs	City: State: PUNE  Does the marketer market the <i>private fur</i>	nd through one or more we list the website address(es  No Information of the second of	Country: India  ebsites?  i): tion Filed  consultant, finder, introducer, municipuestions (b) through (g) below for	ipal advisor or other solici each such marketer the	€ itor,
f) g) or s	City: State: PUNE  Does the marketer market the <i>private fun</i> If the answer to question 28.(f) is "yes,"  must answer "yes" whether the <i>person</i> actimilar <i>person</i> . If the answer to question 28 ate fund uses. If the <i>private fund</i> uses mo	nd through one or more we list the website address(es  No Information of the second of	Country: India  ebsites?  i): tion Filed  consultant, finder, introducer, municipuestions (b) through (g) below for	ipal advisor or other solici each such marketer the	itor,
f) g) or s	City: State: PUNE  Does the marketer market the <i>private fun</i> If the answer to question 28.(f) is "yes,"  must answer "yes" whether the <i>person</i> actimilar <i>person</i> . If the answer to question 28 ate fund uses. If the <i>private fund</i> uses mo	nd through one or more we list the website address(es No Information of the list of the list the website address(es No Information of the list of the	Country: India  ebsites?  i): tion Filed  consultant, finder, introducer, municipuestions (b) through (g) below for	ipal advisor or other solici each such marketer the gh (g) separately for each	itor,
f) g) four	City: State: PUNE  Does the marketer market the <i>private fun</i> If the answer to question 28.(f) is "yes," I  must answer "yes" whether the <i>person</i> actimilar <i>person</i> . If the answer to question 28 ate fund uses. If the <i>private fund</i> uses moketer.	nd through one or more we list the website address(es No Information of the list of the list the website address(es No Information of the list of the	Country: India  ebsites?  i): tion Filed  consultant, finder, introducer, municipuestions (b) through (g) below for	ipal advisor or other solici each such marketer the gh (g) separately for each	itor,

,	he marketer is registered with th					
- and	d CRD Number (if any):					
		ad a tasta di banda a		,		
-	ation of the marketer's office use			ry):		
City		tate:	Country:			
PUN	NE		India			
					Yes	No
) Doe	es the marketer market the <i>priva</i>	te fund through one or	more websites?		0	⊚
g) If th	he answer to question 28.(f) is "	yes," list the website a	ddress(es):			
		No	Information Filed			
r simila	st answer "yes" whether the <i>pers</i> ar <i>person</i> . If the answer to quest fund uses. If the <i>private fund</i> us er.	tion 28.(a) is "yes," res	pond to questions (b) through (	(g) below for each such mar	keter the	
					Yes	No
o) Is t	the marketer a <i>related person</i> of	your firm?			0	•
c) Nar	me of the marketer:					
-	NTIFY					
l) If tl	he marketer is registered with th	e SEC, its file number	( <i>e.g.</i> , 801-, 8-, or 866-):			
	d CRD Number (if any):					
e) Loc City	cation of the marketer's office use y: Si	ed principally by the <i>pr</i> tate:	Country:	:ry):		
e) Loc	cation of the marketer's office use y: Si		• •	:ry):	Vos	N
e) Loc City PUN	cation of the marketer's office use y: Si	tate:	Country: India	:ry):	Yes O	No ©
e) Loc City PUN	cation of the marketer's office use y: Si NE es the marketer market the <i>priva</i>	tate: ate fund through one or	Country: India more websites?	:ry):		
e) Loc City PUN	ration of the marketer's office use y: SI NE	tate: ate fund through one or yes," list the website a	Country: India more websites?	:ry):		
e) Loc City PUN	cation of the marketer's office use y: Si NE es the marketer market the <i>priva</i>	tate: ate fund through one or yes," list the website a	Country: India more websites? ddress(es):	:ry):		_
e) Loc City PUN f) Doe g) If the ou must r similarivate	sation of the marketer's office usery: Sine  es the marketer market the private the answer to question 28.(f) is "  st answer "yes" whether the person. If the answer to quest fund uses. If the private fund uses.	tate:  Ste fund through one or  yes," list the website and  No  son acts as a placement  cion 28.(a) is "yes," res	Country: India  more websites?  ddress(es): Information Filed  t agent, consultant, finder, intropond to questions (b) through (	oducer, municipal advisor or (g) below for each such mar	other solici	tor,
E) Loc City PUN F) Doe G) If the course must r similarivate	sation of the marketer's office usery: Sine  es the marketer market the private he answer to question 28.(f) is "  st answer "yes" whether the persar person. If the answer to quest fund uses. If the private fund user.	yes," list the website and No.  Soon acts as a placement ion 28.(a) is "yes," reses more than one mark	Country: India  more websites?  ddress(es): Information Filed  t agent, consultant, finder, intropond to questions (b) through (	oducer, municipal advisor or (g) below for each such mar	other solici	€ tor,
e) Loc City PUN () Doe () If the course must r similarivate	sation of the marketer's office usery: Sine  es the marketer market the private the answer to question 28.(f) is "  st answer "yes" whether the person. If the answer to quest fund uses. If the private fund uses.	yes," list the website and No.  Soon acts as a placement ion 28.(a) is "yes," reses more than one mark	Country: India  more websites?  ddress(es): Information Filed  t agent, consultant, finder, intropond to questions (b) through (	oducer, municipal advisor or (g) below for each such mar	other solici keter the ely for each	€ tor,
E) Loc City PUN F) Doe G) If the Dou must r similarivate narkete	sation of the marketer's office usery: Sine  es the marketer market the private he answer to question 28.(f) is "  st answer "yes" whether the persar person. If the answer to quest fund uses. If the private fund user.	yes," list the website and No.  Soon acts as a placement ion 28.(a) is "yes," reses more than one mark	Country: India  more websites?  ddress(es): Information Filed  t agent, consultant, finder, intropond to questions (b) through (	oducer, municipal advisor or (g) below for each such mar	other solici keter the ely for each	ttor,
e) Loc City PUN f) Doe g) If the ou must r similarivate narkete	cation of the marketer's office usery:  Since  The ses the marketer market the private the answer to question 28.(f) is "  St answer "yes" whether the person of the marketer a related person of the marketer:	tate:  Ite fund through one or  Ite fund throu	Country: India  more websites?  ddress(es): Information Filed  t agent, consultant, finder, intropond to questions (b) through (seter, you must complete questions)	oducer, municipal advisor or (g) below for each such mar	other solici keter the ely for each	ttor,
e) Loc City PUN  f) Doe g) If th  four must r similarivate narkete b) Is t c) Nar ZIM d) If th	station of the marketer's office users:  Since  The sest the marketer market the private the answer to question 28.(f) is "sest answer "yes" whether the person ar person. If the answer to quest fund uses. If the private fund user.  The marketer a related person of the marketer:  MELLO WEALTH PVT LTD	tate:  Ite fund through one or  Ite fund throu	Country: India  more websites?  ddress(es): Information Filed  t agent, consultant, finder, intropond to questions (b) through (seter, you must complete questions)	oducer, municipal advisor or (g) below for each such mar	other solici keter the ely for each	ttor,
e) Loc City PUN  f) Doe g) If th  ou mus r simila rivate narkete b) Is t  c) Nar ZIM  d) If th	station of the marketer's office users:  Since  The marketer market the private the answer to question 28.(f) is "stanswer "yes" whether the person ar person. If the answer to quest fund uses. If the private fund user.  The marketer a related person of the marketer:  MELLO WEALTH PVT LTD  The marketer is registered with the person of the marketer is registered with the person of the marketer is registered with the marketer is registered with the person of the person of the marketer is registered with the person of the marketer is registered with the person of the person of the marketer is registered with the person of th	tate:  Ite fund through one or  Ite fund throu	Country: India  more websites?  ddress(es): Information Filed  t agent, consultant, finder, intropond to questions (b) through (seter, you must complete questions)	oducer, municipal advisor or (g) below for each such mar	other solici keter the ely for each	ttor,

27725, 913	31 FIVI		IARD - All Sections [05	er Name: tiebote7, OrgiD: 323282]	
		City: CHENNAI	State:	Country: India	
					Yes No
		(f) Does the marketer mark	set the <i>private fund</i> through one or more we	ebsites?	0 @
		(g) If the answer to questio	n 28.(f) is "yes," list the website address(es	s):	
			No Informa	ition Filed	
					·
			Funds per Page: 15 🔻	Total Funds: 1	
SECTIO	ON 7.E	3.(2) <i>Private Fund</i> Reporti	ng		
			No Information F	filed	

# Item 8 Participation or Interest in Client Transactions

In this Item, we request information about your participation and interest in your *clients*' transactions. This information identifies additional areas in which conflicts of interest may occur between you and your *clients*. Newly-formed advisers should base responses to these questions on the types of participation and interest that you expect to engage in during the next year.

Like Item 7, Item 8 requires you to provide information about you and your related persons, including foreign affiliates.

Pro	priet	ary Interest in <i>Client</i> Transactions		
Α.	Do y	you or any related person:	Yes	No
	(1)	buy securities for yourself from advisory <i>clients</i> , or sell securities you own to advisory <i>clients</i> (principal transactions)?	0	•
	(2)	buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory clients?	•	0
	(3)	recommend securities (or other investment products) to advisory <i>clients</i> in which you or any <i>related person</i> has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?	•	0
Sal	es In	terest in <i>Client</i> Transactions		
В.	Do y	you or any related person:	Yes	No
	(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory client securities are sold to or bought from the brokerage customer (agency cross transactions)?	0	•
	(2)	recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related person</i> serves as underwriter or general or managing partner?	0	•
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	0	•
Inv	estm	nent or Brokerage Discretion		
C.	Do y	you or any related person have discretionary authority to determine the:	Yes	No
	(1)	securities to be bought or sold for a <i>client's</i> account?	•	0
	(2)	amount of securities to be bought or sold for a <i>client's</i> account?	⊚	0
	(3)	broker or dealer to be used for a purchase or sale of securities for a client's account?	•	0
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	•	О
D.	If yo	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	•
E.	Do y	you or any related person recommend brokers or dealers to clients?	•	О
F.	If yo	ou answer "yes" to E. above, are any of the brokers or dealers related persons?	0	•
G.	(1)	Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	•	0
	(2)	If "yes" to G.(1) above, are all the "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	•	О
Н.	(1)	Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	⊚	0
	(2)	Do you or any <i>related person</i> , directly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for the firm (cash or non-cash compensation in addition to the <i>employee's</i> regular salary)?	0	•
I.		you or any related person, including any employee, directly or indirectly, receive compensation from any person (other than you or any ted person) for client referrals?	0	•
	In y	our response to Item 8.I., do not include the regular salary you pay to an employee.		
	rece	esponding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8. ived from (in answering Item 8.I.) any person in exchange for client referrals, including any bonus that is based, at least in part, on the nount of client referrals.	•	

# Item 9 Custody

In this Item, we ask you whether you or a *related person* has *custody* of *client* (other than *clients* that are investment companies registered under the Investment Company Act of 1940) assets and about your custodial practices.

A.	(1) Do you have <i>custody</i> of any advisory <i>clients'</i> :	Yes	s No
	(a) cash or bank accounts?	•	0
	(b) securities?	•	0
		e	- 0
	If you are registering or registered with the SEC, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because (i) you deduct y advisory fees directly from your clients' accounts, or (ii) a related person has custody of client assets in connection with advisory services yo to clients, but you have overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5) related person.	u prov	
	(2) If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>client</i> you have <i>custody</i> :	s for v	vhich
	U.S. Dollar Amount Total Number of <i>Clients</i>		
	(a) \$ 49,635,135 (b) 1		
	If you are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clien accounts, do not include the amount of those assets and the number of those clients in your response to Item 9.A.(2). If your related person custody of client assets in connection with advisory services you provide to clients, do not include the amount of those assets and number of clients in your response to 9.A.(2). Instead, include that information in your response to Item 9.B.(2).	n has	;
В.	(1) In connection with advisory services you provide to <i>clients</i> , do any of your <i>related persons</i> have <i>custody</i> of any of your advisory <i>clients</i>		
	(a) cash or bank accounts?	0	⊚
	(b) securities?	0	⊙
	You are required to answer this item regardless of how you answered Item 9.A.(1)(a) or (b).		
	(2) If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>client</i> your <i>related persons</i> have <i>custody</i> :	s for v	vhich
	U.S. Dollar Amount Total Number of <i>Clients</i>		
	(a) \$ (b)		
C.	If you or your <i>related persons</i> have <i>custody</i> of <i>client</i> funds or securities in connection with advisory services you provide to <i>clients</i> , check all following that apply:  (1) A qualified custodian(s) sends account statements at least quarterly to the investors in the pooled investment vehicle(s) you manage.  (2) An <i>independent public accountant</i> audits annually the pooled investment vehicle(s) that you manage and the audited financial statements are distributed to the investors in the pools.	the	
	(3) An <i>independent public accountant</i> conducts an annual surprise examination of <i>client</i> funds and securities.		
	(4) An <i>independent public accountant</i> prepares an internal control report with respect to custodial services when you or your <i>related persons</i> are qualified custodians for <i>client</i> funds and securities.		
	If you checked Item 9.C.(2), C.(3) or C.(4), list in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or example prepare an internal control report. (If you checked Item 9.C.(2), you do not have to list auditor information in Section 9.C. of Schedule D if you provided this information with respect to the private funds you advise in Section 7.B.(1) of Schedule D).		
D.	Do you or your <i>related person(s)</i> act as qualified custodians for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	Ye	s No
	(1) you act as a qualified custodian	0	⊙
	(2) your related person(s) act as qualified custodian(s)	0	⊙
	If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant 206(4)-2(b)(1)) must be identified in Section 7.A. of Schedule D, regardless of whether you have determined the related person to be operating independent under rule 206(4)-2 of the Advisers Act.		
E.	If you are filing your <i>annual updating amendment</i> and you were subject to a surprise examination by an <i>independent public accountant</i> during last fiscal year, provide the date (MM/YYYY) the examination commenced:	ng you	ır
F.	If you or your <i>related persons</i> have <i>custody</i> of <i>client</i> funds or securities, how many <i>persons</i> , including, but not limited to, you and your <i>relat persons</i> , act as qualified custodians for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?  1	ed	

No Information Filed

# **Item 10 Control Persons**

In this Item, we ask you to identify every *person* that, directly or indirectly, *controls* you. If you are filing an *umbrella registration*, the information in Item 10 should be provided for the *filing adviser* only.

If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

Yes No

A. Does any person not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, control your management or policies?

0 0

If yes, complete Section 10.A. of Schedule D.

B. If any *person* named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete Section 10.B. of Schedule D.

### SECTION 10.A. Control Persons

No Information Filed

### SECTION 10.B. Control Person Public Reporting Companies

No Information Filed

### **Item 11 Disclosure Information**

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your *advisory affiliates*. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the *filing adviser* and all *relying advisers* under an *umbrella registration*.

Your advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1) (a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.

		Yes	No
Do	any of the events below involve you or any of your <i>supervised persons</i> ?	0	⊙
For	"yes" answers to the following questions, complete a Criminal Action DRP:		
Α.	In the past ten years, have you or any advisory affiliate:	Yes	No
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	$\circ$	$\odot$
	(2) been charged with any felony?	0	•
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item	11.A.	(2)
	to charges that are currently pending.		
В.	In the past ten years, have you or any advisory affiliate:		
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a misdemeanor involving: investments or an investment-related business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	0	•
	(2) been charged with a misdemeanor listed in Item 11.B.(1)?	0	•
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item to charges that are currently pending.	11.B.	(2)
For	"yes" answers to the following questions, complete a Regulatory Action DRP:		
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) found you or any advisory affiliate to have made a false statement or omission?	$\circ$	$\odot$
	(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	0	•
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity?	0	⊙
	(5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity?	0	•
D.	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:		
	(1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	0	•
	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	0	•
	(3) ever <i>found</i> you or any <i>advisory affiliate</i> to have been a cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?	0	•
	(5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	0	•
E.	Has any self-regulatory organization or commodities exchange ever:		
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	•
	(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	0	0

	•		
	(3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	0	•
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	0	•
G.	Are you or any <i>advisory affiliate</i> now the subject of any regulatory <i>proceeding</i> that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	0	•
For	r "yes" answers to the following questions, complete a Civil Judicial Action DRP:		
For H.		Yes	No
		Yes O	No ⊙
	(1) Has any domestic or foreign court:		
	(1) Has any domestic or foreign court:  (a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?		•

# **Item 12 Small Businesses**

The SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the definition of "small business" or "small organization" under rule 0-7.

Answer this Item 12 only if you are registered or registering with the SEC **and** you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of *clients*. In determining your or another *person's* total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- Control means the power to direct or cause the direction of the management or policies of a *person*, whether through ownership of securities, by contract, or otherwise. Any *person* that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another *person* is presumed to *control* the other *person*.

		Yes	No
A.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	0	$\circ$
If "	yes," you do not need to answer Items 12.B. and 12.C.		
В.	Do you:		
	(1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	О
C.	Are you:		
	(1) controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0

### Schedule A

#### **Direct Owners and Executive Officers**

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

    Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
  - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
  - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
  - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 3. Do you have any indirect owners to be reported on Schedule B? Yes No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75% A 5% but less than 10% C 25% but less than 50% E 75% or more
- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

FULL LEGAL NAME (Individuals:	DE/FE/I Title or Status		Date Title or Status		Control	PR	R CRD No. If None: S.S. No. and	
Last Name, First Name, Middle Name)			Acquired MM/YYYY	Code	Person		Date of Birth, IRS Tax No. or Employer ID No.	
BOHRA, ANIS, (NMN)	I	DESIGNATED PARTNER	12/2021	NA	Y	N	6496364	
ALCHEMY CAPITAL MANAGEMENT PRIVATE LIMITED	FE	PARTNER	12/2021	Е	Y	N	FOREIGN ENTITY	
KAR, RAKESH, (NMN)	I	CHIEF COMPLIANCE OFFICER	10/2022	NA	N	N	7654403	
Ved, Hiren, Hiresh	I	DESIGNATED PARTNER	02/2024	NA	Y	N	7950514	

### Schedule B

#### **Indirect Owners**

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more
  - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

FULL LEGAL NAME DE/FE/I Entity in Which Status Date Status Ownership Control PR CRD No. If None: S.S.							CDD N. TCN CC N.	
FULL LEGAL NAME	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No.
(Individuals: Last Name,		Interest is Owned		Acquired	Code	Person		and Date of Birth, IRS
First Name, Middle Name)				MM/YYYY				Tax No. or Employer ID
								No.
KEDIA, ASHWIN, PRAKASH	I	ALCHEMY CAPITAL	SHAREHOLDER	02/2018	F	Υ	N	6505359
CHANDRA		MANAGEMENT						
		PRIVATE LIMITED						
RARE EQUITY PRIVATE	FE	ALCHEMY CAPITAL	SHAREHOLDER	12/2019	С	Υ	N	FOREIGN ENTITY
LIMITED		MANAGEMENT						
		PRIVATE LIMITED						
SANGHVI, LASHIT, LALLUBHAI	I	ALCHEMY CAPITAL	SHAREHOLDER	02/2018	F	Υ	N	6505352
		MANAGEMENT						
		PRIVATE LIMITED						
JHUNJHUNWALA, REKHA,	I	RARE EQUITY PRIVATE	SHAREHOLDER	08/2022	E	Υ	N	7777333
(NMN)		LIMITED						

# Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

The information contained in this Form ADV is as of 3/31/2025. Schedule B: Please note that Rekha Rakesh Jhunjhunwala is the principal executor of the estate of the late Rakesh Jhunjhunwala.

Schedule R
No Information Filed

DRP Pages
CRIMINAL DISCLOSURE REPORTING PAGE (ADV)
No Information Filed
REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)
No Information Filed
CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)
No Information Filed

### Part 2

# Exemption from brochure delivery requirements for SEC-registered advisers

SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to *all* of your advisory clients, you do not have to prepare a brochure.

Yes No

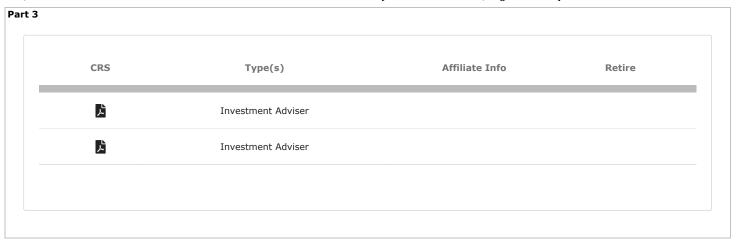
Are you exempt from delivering a brochure to all of your clients under these rules?

 $\circ$ 

If no, complete the ADV Part 2 filing below.

Amend, retire or file new brochures:

Brochure ID	Brochure Name	Brochure Type(s)
374665	ALCHEMY INVESTMENT MANAGEMEN	High net worth individuals, Private funds or pools
	LLP	



### **Execution Pages**

### DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SFC and all amendments.

## Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

## Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY

Printed Name: Title:

Adviser CRD Number:

323282

## **NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE**

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

# 1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

### 2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

## 3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

### Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits

and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY RAKESH KAR 06/27/2025

Printed Name: Title:

RAKESH KAR CHIEF COMPLIANCE OFFICER

Adviser CRD Number:

323282

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